

# Strongly closed subgroups of finite groups

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## Abstract

This paper gives a complete classification of the finite groups that contain a strongly closed  $p$ -subgroup for  $p$  any prime.

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## 1. Introduction

For any finite group  $G$  and subgroup  $S$  we say two elements of  $S$  are *fused* in  $G$  if they are conjugate in  $G$  but not necessarily in  $S$ . This concept has played a central role in group theory and representation theory, particularly in the case when  $S$  is a Sylow  $p$ -subgroup of  $G$  for  $p$  a prime. A subgroup  $A$  of  $S$  is called *strongly closed* in  $S$  with respect to  $G$  if for every  $a \in A$ , every element of  $S$  that is fused in  $G$  to  $a$  lies in  $A$ ; in other words,  $a^G \cap S \subseteq A$ , where  $a^G$  denotes the  $G$ -conjugacy class of  $a$ . It is easy to verify that if  $A$  is a  $p$ -subgroup, then  $A$  is strongly closed in a Sylow  $p$ -subgroup if and only if it is strongly closed in  $N_G(A)$ , so the notion of strong closure for a  $p$ -subgroup does not depend on the Sylow subgroup containing it. For a  $p$ -group  $A$  we therefore simply say  $A$  is strongly closed. Seminal works in the theory of strongly closed 2-subgroups are the celebrated Glauberman  $Z^*$ -Theorem, [16], and Goldschmidt's theorem on strongly closed abelian 2-subgroups, [17]. The  $Z^*$ -Theorem proved that if  $A$  is strongly closed and of order 2, then  $\overline{A} \leq Z(\overline{G})$ , where the overbars denote passage to  $G/O_2(G)$ . Goldschmidt extended this by showing that if  $A$  is a strongly closed abelian 2-subgroup, then  $\langle \overline{A} \rangle$  is a central product of an abelian 2-group and quasisimple groups that either have a  $BN$ -pair of rank 1 or have abelian Sylow 2-subgroups. These two theorems, in particular, played

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fundamental roles in the study of finite groups, especially in the Classification of the Finite Simple Groups. The purpose of this paper is to give a classification of all finite groups containing a strongly closed  $p$ -subgroup for an arbitrary prime  $p$  (not assuming the strongly closed subgroup is abelian).

The concept of strong closure has important ramifications beyond finite group theory. In particular, it is intimately connected to Puig's formulation of *fusion systems* (or Frobenius categories), which evolved from the modular representation theory of finite groups: To each  $p$ -block of a finite group one can associate a (saturated) fusion system. Puig's axiomatic approach provided the formalism necessary to study fusion in a context which subsumes, as a special case, the natural fusion system arising from pairs  $(G, S)$ , where  $G$  is a finite group and  $S$  is a Sylow  $p$ -subgroup of  $G$ . The concept of strong closure extends in an obvious way to abstract fusion systems and plays a critical role therein: If  $\mathcal{F}$  is a fusion system on a  $p$ -group  $S$ , then the homomorphic images of  $\mathcal{F}$  are in bijective correspondence with the strongly closed subgroups of  $S$ . Fusion systems were further refined by Broto, Levi, and Oliver in [4] to create the class of  $p$ -local finite groups (see also [2], [3], [5] and [23]). Oliver then used this approach to prove that the homotopy type of the  $p$ -completed classifying space of a finite group  $G$  is uniquely determined by the saturated fusion system  $(G, S)$ , where  $S$  is a Sylow  $p$ -subgroup of  $G$ . Thus strong closure and its extensions to fusion systems and  $p$ -local finite group theory also has significant ramifications in deep and currently very active areas of modular representation theory and algebraic topology.

This paper is also the group-theoretic result needed for a classification theorem in homotopy theory, which was the original impetus for our joint work. Groups containing a strongly closed 2-subgroup were characterized earlier in [13], and that theorem formed the underpinning of a complete description of the  $B\mathbb{Z}/2$ -cellularization (in the sense of Dror-Farjoun) of the classifying spaces of all finite groups, [10] and [12]. In order to correspondingly describe the  $B\mathbb{Z}/p$ -cellularization of classifying spaces for odd primes  $p$ , we needed the classification of finite groups containing a strongly closed  $p$ -subgroup for odd  $p$  — this is the main theorem herein. The complete description of the cellular structure (with respect to  $B\mathbb{Z}/p$ ) of classifying spaces for all finite groups and all primes  $p$  is then established in the separate paper [11]. Our two classifications, the latter relying on the former, epitomize the rich interplay between their subject areas that has historically been evident and is currently even more vibrant.

A curious application of strong closure to ordinary representation theory and number theory appears in [14].

Finally, although the techniques used in this paper are purely group-theoretic, the underlying fusion arguments provide deeper insight into topological considerations in our second classification. Indeed, the marriage of these elements is seen in high relief in Section 4 where we explore more explicit configurations that give rise in [11] to interesting — what might be called exotic — classifying spaces.

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### 1.1. Statement of Results

To describe the main results we introduce some new notation. Henceforth  $p$  is any prime,  $S$  is a Sylow  $p$ -subgroup of the finite group  $G$  and  $A$  is a subgroup of  $S$ . In general let  $R$  be any  $p$ -subgroup of  $G$ . If  $N_1$  and  $N_2$  are normal subgroups of  $G$  with  $R \cap N_i \in \text{Syl}_p(N_i)$  for both  $i = 1, 2$ , then  $R \cap N_1N_2$  is a Sylow  $p$ -subgroup of  $N_1N_2$ . Thus there is a unique largest normal subgroup  $N$  of  $G$  for which  $R \cap N \in \text{Syl}_p(N)$ ; denote this subgroup by  $\mathcal{O}_R(G)$ . Thus

$$R \text{ is a Sylow } p\text{-subgroup of } \langle R^G \rangle \text{ if and only if } R \leq \mathcal{O}_R(G).$$

Note that  $O_{p'}(G/\mathcal{O}_R(G)) = 1$ ; in particular, if  $R = 1$  is the identity subgroup then  $\mathcal{O}_1(G) = O_{p'}(G)$ . In general,  $R\mathcal{O}_R(G)/\mathcal{O}_R(G)$  does not contain the Sylow  $p$ -subgroup of any nontrivial normal subgroup of  $G/\mathcal{O}_R(G)$ ; in other words,  $\mathcal{O}_{\overline{R}}(\overline{G}) = 1$ , where overbars denote passage to  $G/\mathcal{O}_R(G)$ . Throughout the paper we freely use the observation that strong closure passes to quotient groups (cf. Lemma 2.3), so when analyzing groups where  $R \not\leq \mathcal{O}_R(G)$  we may factor out  $\mathcal{O}_R(G)$ . With this in mind, the classification for strongly closed 2-subgroups from [13] is as follows:

**Theorem 1.1.** *Let  $G$  be a finite group that possesses a strongly closed 2-subgroup  $A$ . Assume  $A$  is not a Sylow 2-subgroup of  $\langle A^G \rangle$ , and let  $\overline{G} = G/\mathcal{O}_A(G)$ . Then  $\overline{A} \neq 1$  and  $\langle \overline{A}^{\overline{G}} \rangle = L_1 \times L_2 \times \cdots \times L_r$ , where each  $L_i$  is isomorphic to  $U_3(2^{n_i})$  or  $Sz(2^{n_i})$  for some  $n_i$ , and  $\overline{A} \cap L_i$  is the center of a Sylow 2-subgroup of  $L_i$ .*

The classification for  $p$  odd, which is the principal objective of the paper, yields a more diverse set of “obstructions” with added “decorations” as well.

**Theorem 1.2.** *Let  $p$  be an odd prime and let  $G$  be a finite group that possesses a strongly closed  $p$ -subgroup  $A$ . Assume  $A$  is not a Sylow  $p$ -subgroup of  $\langle A^G \rangle$ , and let  $\overline{G} = G/\mathcal{O}_A(G)$ . Then  $\overline{A} \neq 1$  and*

$$\langle \overline{A}^{\overline{G}} \rangle = (L_1 \times L_2 \times \cdots \times L_r)(D \cdot A_F) \tag{1}$$

where  $r \geq 1$ , each  $L_i$  is a simple group, and  $A_i = \overline{A} \cap L_i$  is a homocyclic abelian group. Furthermore,  $D = [D, A_F]$  is a (possibly trivial)  $p'$ -group normalizing each  $L_i$ , and  $A_F$  is a (possibly trivial) abelian subgroup of  $\overline{A}$  of rank at most  $r$  normalizing  $D$  and each  $L_i$  and inducing outer automorphisms on each  $L_i$ , and the extension  $(A_1 \cdots A_r) : A_F$  splits. Each  $L_i$  belongs to one of the following families:

- (i)  $L_i$  is a group of Lie type in characteristic  $\neq p$  whose Sylow  $p$ -subgroup is abelian but not elementary abelian; in this case the Sylow  $p$ -subgroup of  $L_i$  is homocyclic of the same rank as  $A_i$  but larger exponent than  $A_i$ ; here  $D/(D \cap L_i C_{\overline{G}}(L_i))$  is a cyclic  $p'$ -subgroup of the outer diagonal automorphism group of  $L_i$ , and  $A_F/C_{A_F}(L_i)$  acts as a cyclic group of field automorphisms on  $L_i$ .
- (ii)  $L_i \cong U_3(p^n)$  or  $Re(3^n)$  is a group of BN-rank 1 ( $p = 3$  with  $n$  odd and  $\geq 2$  in the latter family); in the unitary case  $A_i$  is the center of a Sylow  $p$ -subgroup of  $L_i$  (elementary abelian of order  $p^n$ ), and in the Ree group case  $A_i$  is either the center or the commutator subgroup of a Sylow 3-subgroup (elementary abelian of order  $3^n$  or  $3^{2n}$  respectively); in both families  $D$  and  $A_F$  act trivially on  $L_i$ .
- (iii)  $L_i \cong G_2(q)$  with  $(q, 3) = 1$ ; here  $|A_i| = 3$  and both  $D$  and  $A_F$  act trivially on  $L_i$ .
- (iv)  $L_i$  is one of the following sporadic groups, where in each case  $A_i$  has prime order, and both  $D$  and  $A_F$  act trivially on  $L_i$ :
  - ( $p = 3$ )  $J_2$ ,
  - ( $p = 5$ )  $Co_3, Co_2, HS, Mc$ ,
  - ( $p = 11$ )  $J_4$ .
- (v)  $L_i \cong J_3$ ,  $p = 3$ , and  $A_i$  is either the center or the commutator subgroup of a Sylow 3-subgroup (elementary abelian of order 9 or 27 respectively); here  $D$  and  $A_F$  act trivially on  $L_i$ .

**Remark.** After factoring out  $\mathcal{O}_A(G)$  — so that overbars may be omitted — the proof of Theorem 1.2 shows that  $F^*(G) = L_1 \times \cdots \times L_r$ , and (1) may also be written as

$$\langle A^G \rangle \cong ((L_1 \times \cdots \times L_i)D \times L_{i+1} \times \cdots \times L_j)A_F \times (L_{j+1} \times \cdots \times L_r)$$

where  $L_1, \dots, L_i$  are the components of type  $PSL$  or  $PSU$  over fields of characteristic  $\neq p$ ,  $L_{i+1}, \dots, L_j$  are other groups listed in conclusion (i) (but not linear or unitary), and  $L_{j+1}, \dots, L_r$  are the components of types listed in (ii) to (v). Furthermore, assume  $G = \langle A^G \rangle$  and let  $A \leq S \in \text{Syl}_p(G)$  and  $S^* = S \cap F^*(G)$ . Then we may choose  $D$  generically as  $[O_{p'}(C_G(S^*)), S]$ , which is a  $p'$ -group normalized by  $S$  and centralized by the Sylow  $p$ -subgroup  $S^*$  of  $L_1 \cdots L_r$ .

An easy example where both  $D$  and  $A_F$  are nontrivial is provided at the outset of Section 4.

Conversely, observe that any finite group that has a composition factor of one of the above types for  $L_i$  possesses a strongly closed  $p$ -subgroup that is not a Sylow  $p$ -subgroup of its normal closure in  $G$ . More detailed information about the structure of the Sylow  $p$ -subgroups and their normalizers for the simple groups  $L_i$  appearing in the conclusion to this theorem is given from Proposition 2.4 through Corollary 2.8 following.

Theorem 1.2 is derived at the end of Section 3 as a consequence of the next result, which is the minimal configuration whose proof appears in Section 3.

**Theorem 1.3.** *Assume the hypotheses of Theorem 1.2. Assume also that  $A$  is a minimal strongly closed subgroup of  $G$ , i.e., no proper, nontrivial subgroup of  $A$  is also strongly closed. Then the conclusion of Theorem 1.2 holds with the additional results that  $A$  is elementary abelian,  $D = 1$ ,  $A_F = 1$ , and  $G$  permutes  $L_1, \dots, L_r$  transitively (hence they are all isomorphic).*

Some important consequences needed for our results on cellularization of classifying spaces in [11] are the following.

**Corollary 1.4.** *Let  $p$  be any prime, let  $G$  be a finite group containing a strongly closed  $p$ -subgroup  $A$ , let  $S$  be a Sylow  $p$ -subgroup of  $G$  containing  $A$ , and let  $\bar{G} = G/\mathcal{O}_A(G)$ . Assume that  $G$  is generated by the conjugates of  $A$ . Then  $N_{\bar{G}}(\bar{A})$  controls strong  $\bar{G}$ -fusion in  $\bar{S}$ . Furthermore, if  $p \neq 3$  or if  $\bar{G}$  does not have a component of type  $G_2(q)$  with  $9 \mid q^2 - 1$ , then  $N_{\bar{G}}(\bar{S})$  controls strong  $\bar{G}$ -fusion in  $\bar{S}$ .*

In Section 4.3 we demonstrate that the exceptional case to the stronger conclusion in the last sentence of Corollary 1.4 is unavoidable, even if we impose the condition that  $\Omega_1(S) \leq A$ : we construct examples of groups  $G$  generated by conjugates of a strongly closed subgroup  $A$  containing  $\Omega_1(S)$  and  $G/\mathcal{O}_A(G) \cong G_2(q)$  where  $N_{\bar{G}}(\bar{S})$  does not control fusion in  $\bar{S}$ .

The next result facilitates computation of  $N_G(A)$  in groups satisfying the conclusion to the preceding corollary.

**Corollary 1.5.** *Assume the hypotheses of preceding corollary and the notation of Theorem 1.2. For each  $i$  let  $C_i = C_{\bar{G}}(A_F) \cap N_{L_i}(A_i)$  and  $S_i = \bar{S} \cap L_i$ . Then*

$$N_{\bar{G}}(\bar{A})/\bar{A} = (S_1 C_1/A_1) \times (S_2 C_2/A_2) \times \cdots \times (S_r C_r/A_r).$$

*In particular, if  $L_i$  is a component on which  $A_F$  acts trivially — which is the case for all components in conclusions (ii) to (v) of Theorem 1.2 — the  $i^{\text{th}}$  direct factor above may be replaced by just  $N_{L_i}(A_i)/A_i$  (and this applies to all factors if  $A_F = 1$ ).*

The proof of Theorem 1.3 relies on the Classification of Finite Simple Groups. We reduce to the case where a minimal counterexample,  $G$ , is a simple group having a strongly closed  $p$ -subgroup  $A$  that is properly contained in a non-abelian Sylow  $p$ -subgroup  $S$  of  $G$ . The remainder of the proof involves careful investigation of the families of simple groups to determine precisely when this happens.

We note that “most” simple groups do possess a strongly closed  $p$ -subgroup that is proper in a Sylow  $p$ -subgroup, that is, conclusion (i) of Theorem 1.2 is the “generic obstruction” in the following sense. Let  $\mathcal{L}_n(q)$  denote a simple group of Lie type and  $BN$ -rank  $n$  over the finite field  $\mathbb{F}_q$  with  $(q, p) = 1$ . As we shall see in Section 2, for all but the finitely many primes dividing the order of the Weyl group of the untwisted version of  $\mathcal{L}_n(q)$  the Sylow  $p$ -subgroups of  $\mathcal{L}_n(q)$  are homocyclic abelian. Furthermore, the order of  $\mathcal{L}_n(q)$  can be expressed

as a power of  $q$  times factors of the form  $\Phi_m(q)^{r_m}$  for various  $m, r_m \in \mathbb{N}$ , where  $\Phi_m(x)$  is the  $m^{\text{th}}$  cyclotomic polynomial. Then by Proposition 2.4 below, if  $m_0$  is the multiplicative order of  $q \pmod{p}$ , then  $p$  divides  $\Phi_{m_0}(q)$  and the abelian Sylow  $p$ -subgroup of  $\mathcal{L}_n(q)$  is homocyclic of rank  $r_{m_0}$  and exponent  $|\Phi_{m_0}(q)|_p$ . In particular it is not elementary abelian whenever  $p^2 \mid \Phi_{m_0}(q)$ . For example, this is the case in the groups  $PSL_{n+1}(q)$  whenever  $p > n + 1$  and  $p^2$  divides  $q^m - 1$  for some  $m \leq n + 1$ . Thus for fixed  $n$  and all but finitely many  $p$ , this can always be arranged by taking  $q$  suitably large.

The overall organization of the paper is as follows: Section 2 contains preliminary results, including detailed information on the Sylow structure and Sylow normalizers of simple groups containing strongly closed  $p$ -subgroups. The main results are proved in Section 3; Theorem 1.3 is proved first and Theorem 1.2 and its corollaries are derived at the end of this section as consequences of it. Section 4 provides interesting examples of groups,  $G$ , possessing strongly closed subgroups,  $A$ ; and with an eye to applications in [11] we also describe  $N_G(A)$  and  $N_G(S)$  for these cases of  $G$ . More explicitly, we describe these first for  $G$  simple, and then for split extensions, and finally for certain nonsplit extensions of simple groups. The latter are very illuminating in the sense that they give an alluring glimpse of what “should be” the  $B\mathbb{Z}/p$ -cellularization of more general objects.

## 2. Preliminary Results

The special case when  $A$  has order  $p$  has already been treated in [20, Proposition 7.8.2]. It is convenient to quote this special case, although with extra effort our arguments could be reworded to independently subsume it.

**Proposition 2.1.** *If  $K$  is simple and  $G = AK$  is a subgroup of  $\text{Aut}(K)$  such that  $A$  is strongly closed and  $|A| = p$ , then  $A \leq K = G$  and either the Sylow  $p$ -subgroups of  $G$  are cyclic, or  $G$  is isomorphic to  $U_3(p)$  or one of the simple groups listed in conclusions (iii) and (iv) of Theorem 1.2.*

The authors of this result remark that an immediate consequence of this is the odd-prime version of Glauberman’s celebrated  $Z^*$ -Theorem.

**Proposition 2.2.** *If an element of odd prime order  $p$  in any finite group  $X$  does not commute with any of its distinct conjugates then it lies in  $Z(X/O_{p'}(X))$ .*

We record some basic facts about strongly closed subgroups (the second of which relies on the odd-prime  $Z^*$ -Theorem).

**Lemma 2.3.** *For  $p$  any prime let  $A$  be a strongly closed  $p$ -subgroup of  $G$ .*

- (1) *If  $N$  is any normal subgroup of  $G$  then  $AN/N$  is a strongly closed  $p$ -subgroup of  $G/N$ .*
- (2) *If  $A$  normalizes a subgroup  $H$  of  $G$  with  $O_{p'}(H) = 1$  and  $A \cap H = 1$  then  $A$  centralizes  $H$ .*

PROOF. In part (1) let  $A \leq S \in \text{Syl}_p(G)$ . This result follows immediately from the definition of strongly closed applied in the Sylow  $p$ -subgroup  $SN/N$  of  $G/N$  together with Sylow's Theorem. The proof of (2) is the same as for  $p = 2$  since, as noted earlier, the  $Z^*$ -Theorem holds also for odd primes: by induction reduce to the case where  $G = AH$  and  $C_A(H) = 1$ . Then any element of order  $p$  in  $A$  is isolated, hence lies in the center.

The next few results gather facts about the simple groups appearing in the conclusions to Theorems 1.1 and 1.2.

The cross-characteristic Sylow structures of the simple groups of Lie type are beautifully described in [18, Section 10] and reprised in [20, Section 4.10]. Let  $\mathcal{L}(q)$  denote a universal Chevalley group or twisted variation over the field  $\mathbb{F}_q$ . (In the notation of [20],  $\mathcal{L}(q) = {}^dL(q)$ , where  $d = 1, 2, 3$  corresponds to the untwisted, Steinberg twisted, or Suzuki-Ree twisted variations respectively). Let  $W$  denote the Weyl group of the untwisted group corresponding to  $\mathcal{L}(q)$ . Except for some small order exceptions,  $\mathcal{L}(q)$  is a quasisimple group; for example  $\mathcal{A}_\ell(q) \cong SL_{\ell+1}(q)$  and  ${}^2\mathcal{A}_\ell(q) \cong SU_{\ell+1}(q)$ . There is a set  $\mathcal{O}(\mathcal{L}(q))$  of positive integers, and ‘‘multiplicities’’  $r_m$  for each  $m \in \mathcal{O}(\mathcal{L}(q))$ , such that

$$|\mathcal{L}(q)| = q^N \prod_{m \in \mathcal{O}(\mathcal{L}(q))} (\Phi_m(q))^{r_m}$$

where  $\Phi_m(x)$  is the cyclotomic polynomial for the  $m^{\text{th}}$  roots of unity.

Let  $p$  be an odd prime not dividing  $q$  and assume  $S$  is a nontrivial Sylow  $p$ -subgroup of  $\mathcal{L}(q)$ . Let  $m_0$  be the smallest element of  $\mathcal{O}(\mathcal{L}(q))$  such that  $p \mid \Phi_{m_0}(q)$ . Let

$$\mathcal{W} = \{m \in \mathcal{O}(\mathcal{L}(q)) \mid m = p^a m_0, a \geq 1\} \quad \text{and} \quad b = \sum_{m \in \mathcal{W}} r_m \quad (2)$$

where  $b = 0$  if  $\mathcal{W} = \emptyset$ . The main structure theorem is as follows.

**Proposition 2.4.** *Under the above notation the following hold:*

- (1)  $m_0$  is the multiplicative order of  $q \pmod{p}$ .
- (2) Except in the case where  $\mathcal{L}(q) = {}^3D_4(q)$  with  $p = 3$ ,  $S$  has a nontrivial normal homocyclic subgroup,  $S_T$ , of rank  $r_{m_0}$  and exponent  $|\Phi_{m_0}(q)|_p$ .
- (3) With the same exception as in (2),  $S$  is a split extension of  $S_T$  by a (possibly trivial) subgroup  $S_W$  of order  $p^b$  (where  $b$  is defined in (2)), and  $S_W$  is isomorphic to a subgroup of  $W$ . In particular, if  $p \nmid |W|$  or if  $pm_0 \nmid m$  for all  $m \in \mathcal{O}(\mathcal{L}(q))$ , then  $S = S_T$  is homocyclic abelian.
- (4) If  $\mathcal{L}(q) = {}^3D_4(q)$  with  $p = 3$  and  $|q^2 - 1|_3 = 3^a$ , then  $S$  is a split extension of an abelian group of type  $(3^{a+1}, 3^a)$  by a group of order 3, and  $S$  has rank 2.
- (5) If  $\mathcal{L}(q)$  is a classical group (linear, unitary, symplectic or orthogonal) then every element of order  $p$  is conjugate to some element of  $S_T$ .

- (6) Except in  ${}^3D_4(q)$  (where  $S_W$  is not defined),  $S_W$  acts faithfully on  $S_T$ ; and in the simple group  $\mathcal{L}(q)/Z(\mathcal{L}(q)) = \overline{\mathcal{L}(q)}$  we have  $\overline{S_W} \cong S_W$  acts faithfully on  $\overline{S_T}$  except when  $p = 3$  with  $\mathcal{L}(q) \cong SL_3(q)$  (with  $3 \mid q - 1$  but  $9 \nmid q - 1$ ) or  $SU_3(q)$  (with  $3 \mid q + 1$  but  $9 \nmid q + 1$ ).
- (7) If a Sylow  $p$ -subgroup of the simple group  $\mathcal{L}(q)/Z(\mathcal{L}(q))$  is abelian but not elementary abelian then  $p$  does not divide the order of the Schur multiplier of  $\mathcal{L}(q)$ .

PROOF. For parts (1) to (6) see [18, 10-1, 10-2] or [20, Theorems 4.10.2, 4.10.3]. If the odd prime  $p$  divides the order of the Schur multiplier of  $\mathcal{L}(q)$  then by [20, Table 6.12] we must have  $\mathcal{L}(q)$  of type  $SL_n(q)$ ,  $SU_n(q)$ ,  $E_6(q)$  or  ${}^2E_6(q)$  with  $p$  dividing  $(n, q - 1)$ ,  $(n, q + 1)$ ,  $(3, q - 1)$  or  $(3, q + 1)$  respectively. It follows easily from (6) that in each of the corresponding simple groups a Sylow  $p$ -subgroup cannot be abelian of exponent  $\geq p^2$ .

We shall frequently adopt the efficient shorthand from the sources just cited for the latter families.

**Notation.** Denote  $SL_n(q)$  by  $SL_n^+(q)$  and  $SU_n(q)$  by  $SL_n^-(q)$  (likewise for the general linear and projective groups); and say a group is of type  $SL_n^\epsilon(q)$  according to whether  $p \mid q - \epsilon$  for  $\epsilon = +1, -1$  respectively (dropping the “1” from  $\pm 1$ ). The analogous convention is adopted for  $E_6(q) = E_6^+(q)$  and  ${}^2E_6(q) = E_6^-(q)$ .

The following general result is especially important for the groups of Lie type.

**Proposition 2.5.** *If  $G$  is any simple group with an abelian Sylow  $p$ -subgroup  $S$  for any prime  $p$ , then  $N_G(S)$  acts irreducibly and nontrivially on  $\Omega_1(S)$ , and so  $S$  is homocyclic. In particular, a nontrivial subgroup of  $S$  is strongly closed if and only if it is homocyclic of the same rank as  $S$ .*

PROOF. See [20, Proposition 7.8.1] and [18, 12-1].

**Proposition 2.6.** *Let  $G$  be a simple group of Lie type over  $\mathbb{F}_q$  and let  $p$  be an odd prime not dividing  $q$ . Assume a Sylow  $p$ -subgroup  $S$  of  $G$  is abelian and let  $A = \Omega_1(S)$ . Then  $N_G(A) = N_G(S)$ .*

PROOF. The result is trivial if  $S = A$  so assume this is not the case; in particular the exponent of  $S$  is at least  $p^2$ . By part (7) of Proposition 2.4,  $p$  does not divide the order of the Schur multiplier of  $G$ , so we may assume  $G$  is the (quasisimple) universal cover of the simple group. Clearly  $N_G(S) \leq N_G(A)$ . Moreover, since  $S \in \text{Syl}_p(C_G(A))$ , by Frattini’s Argument  $N_G(A) = C_G(A)N_G(S)$ . Thus it suffices to show  $C_G(A) = C_G(S)$ . Since  $C_G(A)$  has an abelian Sylow  $p$ -subgroup and since any nontrivial  $p'$ -automorphism of  $S$  must act nontrivially on  $A$ , by Burnside’s Theorem  $C_G(A)$  has a normal  $p$ -complement. Let  $\Delta = [O_{p'}(C_G(A)), S]$ . It suffices to prove  $S$  centralizes  $\Delta$ .

Let  $\overline{G}$  be the simply connected universal algebraic group over the algebraic closure of  $\mathbb{F}_q$ , and let  $\sigma$  be a Steinberg endomorphism whose fixed points equal  $G$ . In the notation of Proposition 2.4, since  $S = S_T$ , by the proof of [20, Theorem 4.10.2] there is a  $\sigma$ -stable maximal torus  $\overline{T}$  of  $\overline{G}$  containing  $S$ . Let  $\overline{C}$  denote the connected component of  $C_{\overline{G}}(\overline{A})$ , so  $\overline{C}$  is also  $\sigma$ -stable. Note that  $\overline{T} \leq \overline{C}$  and since  $\Delta$  is generated by conjugates of  $S$ , so too  $\Delta \leq \overline{C}$ . We may now follow the basic ideas in the proof of [20, Theorem 7.7.1(d)(2)], where more background is provided. By [24, 4.1(b)],  $\overline{C}$  is reductive, so by the general theory of connected reductive groups

$$\overline{C} = \overline{Z}\overline{L}$$

where  $\overline{Z}$  is the connected component of the center of  $\overline{C}$ ,  $\overline{L}$  is the semisimple component (possibly trivial), and  $\overline{Z} \cap \overline{L}$  is a finite group. Since  $\Delta \leq \overline{C}'$  we have  $\Delta \leq \overline{L}$ . The group of fixed points of  $\sigma$  on  $\overline{L}$  is a commuting product  $L_1 \cdots L_n$  of (possibly solvable) groups of Lie type over the same characteristic as  $G$  and smaller rank, and  $S$  induces inner or diagonal automorphisms on each  $L_i$ . Since  $\Delta \leq O_{p'}(C_G(A))$  we have

$$\Delta \leq O_{p'}(L_1 \cdots L_n) = O_{p'}(L_1) \cdots O_{p'}(L_n).$$

If  $L_i$  is a  $p'$ -group, then  $\text{Inndiag}(L_i)$  is also a  $p'$ -group and so  $S$  centralizes  $L_i$ . On the other hand, if  $p$  divides the order of  $L_i$ , then  $O_{p'}(L_i) \leq Z(L_i)$ ; in this case  $\text{Inndiag}(L_i)$  centralizes  $Z(L_i)$ . In all cases  $S$  centralizes  $O_{p'}(L_i)$ , as needed.

**Proposition 2.7.** *Let  $p$  be any prime, let  $G$  be a simple group containing a strongly closed  $p$ -subgroup, let  $S \in \text{Syl}_p(G)$  and let  $Z = Z(S)$ .*

- (1) *Assume  $G \cong U_3(q)$  with  $q = p^n$ , or  $G \cong Sz(q)$  with  $p = 2$  and  $q = 2^n$ . Then  $S$  is a special group of type  $q^{1+2}$  or  $q^{1+1}$  respectively, and  $N_G(S) = N_G(Z) = SH$ , where the Cartan subgroup  $H$  is cyclic of order  $(q^2 - 1)/(3, q + 1)$  or  $q - 1$  respectively. In both families  $H$  acts irreducibly on both  $Z$  and  $S/Z$ , and  $Z$  is the unique nontrivial, proper strongly closed subgroup of  $S$ .*
- (2) *Assume  $G \cong Re(q)$  with  $p = 3$  and  $q = 3^n$ ,  $n > 1$ . Then  $S$  is of class 3,  $Z \cong E_q$  and  $S' = \Phi(S) = \Omega_1(S) \cong E_{q^2}$ . Furthermore,  $N_G(S) = N_G(Z) = SH$ , where the Cartan subgroup  $H$  is cyclic of order  $q - 1$  and acts irreducibly on all three central series factors:  $Z$  and  $S'/Z$  and  $S/S'$ . Thus  $Z$  and  $\Omega_1(S)$  are the only nontrivial proper strongly closed subgroups of  $S$ .*
- (3) *Assume  $G \cong G_2(q)$  for some  $q$  with  $(q, 3) = 1$  and  $p = 3$ . Then  $Z \cong Z_3$  is the only nontrivial proper strongly closed subgroup of  $S$ . Furthermore,  $N_G(Z) \cong SL_3^\epsilon(q) \cdot 2$  according to whether  $3 \mid q - \epsilon$ . An element of order 2 in  $N_G(Z) - C_G(Z)$  inverts  $Z$ , and  $N_G(S)/S \cong QD_{16}$  or  $E_4$  according as  $|S| = 3^3$  or  $|S| > 3^3$  respectively. No automorphism of  $G$  of order 3 normalizes  $S$  and centralizes both  $S/Z$  and a 3'-Hall subgroup of  $N_G(S)$ .*
- (4) *Assume  $G$  is isomorphic to one of the sporadic groups:  $J_2$  (with  $p = 3$ );  $Co_2, Co_3, HS, Mc$  (with  $p = 5$ ); or  $J_4$  (with  $p = 11$ ). In each case  $S$  is*

non-abelian of order  $p^3$  and exponent  $p$ , and  $Z$  is the only nontrivial proper strongly closed subgroup of  $S$ . The normalizer of  $Z$  [in  $G$ ] is:  $3\text{PGL}_2(9)$  [in  $J_2$ ],  $5^{1+2}((4 * SL_3(3)) \cdot 2)$  [in  $Co_2$ ],  $5^{1+2}((4YS_3) \cdot 4)$  [in  $Co_3$ ],  $5^{1+2}(8 \cdot 2)$  [in  $HS$ ],  $(5^{1+2} \cdot 3) \cdot 8$  [in  $Mc$ ], or  $(11^{1+2} \cdot SL_2(3)) \cdot 10$  [in  $J_4$ ]. In  $G = J_2$  we have  $N_G(S)/S \cong Z_8$ ; and in all other cases  $N_G(S) = N_G(A)$ .

- (5) Assume  $G \cong J_3$  with  $p = 3$ . Then  $Z \cong E_9$  and  $\Omega_1(S) \cong E_{27}$  are the only nontrivial proper strongly closed subgroups of  $S$ . Furthermore,  $N_G(Z) = N_G(S) = SH$  where  $H \cong Z_8$  acts fixed point freely on  $\Omega_1(S)$  and irreducibly on  $Z$ .

PROOF. Part (1) may be found in [21] and [25]. Part (2) appears in [26]. All parts of (4) and (5) appear in [20, Chapter 5] with references therein.

In part (3), by [18, 14-7] the center of  $S$  has order 3 and  $C = C_G(Z) \cong SL_3^\xi(q)$  according to the condition  $3 \mid q - \epsilon$ . The same reference shows  $G$  has two conjugacy classes of elements of order 3: the two nontrivial elements of  $Z$  are in one class, and all elements of order 3 in  $S - Z$  lie in the other. Now  $S \leq SL_3^\xi(q)$  acts absolutely irreducibly on its natural 3-dimensional module over  $\mathbb{F}_q$  (or  $\mathbb{F}_{q^2}$  in the unitary case), hence by Schur's Lemma the centralizer of  $S$  in  $C$  consists of scalar matrices. Thus  $Z = C_C(S) = C_G(S)$ . Since the two nontrivial elements of  $Z$  are conjugate in  $G$ ,  $N_G(Z) = C\langle t \rangle$  where an involution  $t$  may be chosen to normalize  $S$  and induce a graph (transpose-inverse) automorphism on  $C$ . By canonical forms, all non-central elements of order 3 in  $SL_3^\xi(q)$  are conjugate in  $GL_3^\xi(q)$  to the same diagonal matrix  $u = \text{diag}(\lambda, \lambda^{-1}, 1)$ , where  $\lambda$  is a primitive cube root of unity, but are also conjugate in  $SL_3^\xi(q)$  to  $u$  because the outer (diagonal) automorphism group induced by  $GL_3^\xi$  may be represented by diagonal matrices that commute with  $u$ . Thus all elements of order 3 in  $S - Z$  are conjugate in  $C$ .

If  $|S| = 27$ , then since  $S/Z$  is abelian of type (3,3), all elements of order 3 in  $S/Z$  are conjugate under the action of  $N_C(S/Z) = N_C(S)/Z$ ; hence they are conjugate under the faithful action of a 3'-Hall subgroup,  $H_0$ , of  $N_C(S)$  on  $S/Z$ . This shows  $|H_0| \geq 8$ . Since a 3'-Hall subgroup  $H$  of  $N_G(S)$  acts faithfully on  $S/Z$  and has order  $2|H_0|$ , it must be isomorphic to a Sylow 2-subgroup,  $QD_{16}$ , of  $GL_2(3)$  as claimed.

If  $|S| = 3^{2a+1} > 27$  then we may describe  $S$  as the group,  $S_T$ , of diagonal matrices of 3-power order acted upon by a permutation matrix  $w$  of order 3 (where  $\langle w \rangle = S_W$ ). Then  $S_T \cong Z_{3^a} \times Z_{3^a}$  is the unique abelian subgroup of  $S$  of index 3 (as  $|Z| = 3$ ), so  $N_C(S)$  normalizes  $S_T$ . Let  $H_0$  be a 3'-Hall subgroup of  $N_C(S)$ . One easily sees that  $H_0$  must act faithfully on  $\Omega_1(S_T)$  (and centralize  $Z$ ), hence  $|H_0| \leq 2$ . Since there is a permutation matrix of order 2 in  $C$  normalizing  $S$ ,  $|H_0| = 2$ . Thus  $N_G(S)/S$  has order 4, and is seen to be a fourgroup by its action on  $\Omega_1(S_T)$ .

To see that  $Z$  is the unique nontrivial strongly closed subgroup that is proper in  $S$  suppose  $B$  is another, so that  $Z < B$ . If  $B$  contains an element of order 9 — hence an element of order 9 represented by a diagonal matrix in  $C$  — then by conjugating in  $C$  one easily computes that  $B - Z$  contains an element of order 3. Since all such are conjugate in  $C$  this shows  $\Omega_1(S) \leq B$ . It is an exercise

that  $\Omega_1(S) = S$  (the details appear at the end of the proof of Lemma 3.4), a contradiction.

Finally, suppose  $f$  is an automorphism of  $G$  of order 3 that normalizes  $S$  and centralizes  $S/Z$ . Then  $|S : C_S(f)| \leq 3$  so  $f$  cannot be a field automorphism as  $|G_2(r^3) : G_2(r)|_3 \geq 3^2$  for all  $r$  prime to 3. Thus  $f$  must induce an inner automorphism on  $G$ , hence act as an element of order 3 in  $S_T$ . We have already seen that no such element centralizes a 3'-Hall subgroup of  $N_G(S)$ , a contradiction. This completes all parts of the proof.

**Corollary 2.8.** *Let  $p$  be any prime, let  $L$  be a finite simple group possessing a strongly closed  $p$ -subgroup  $A$  that is properly contained in the Sylow  $p$ -subgroup  $S$  of  $L$ . Assume further that  $L$  is isomorphic to one of the groups  $L_i$  in the conclusion of Theorem 1.1 or Theorem 1.2. Then one of the following holds:*

- (1)  $N_L(S) = N_L(A)$ ,
- (2)  $|A| = 3$  and  $L \cong G_2(q)$  for some  $q$  with  $(q, 3) = 1$ , or
- (3)  $|A| = 3$ ,  $L \cong J_2$  and  $N_L(A) \cong 3PGL_2(9)$ .

PROOF. This is immediate from Propositions 2.6 and 2.7.

### 3. The Proofs of the Main Theorems

In this section we first prove Theorem 1.3; Theorem 1.2 and its corollaries are then derived from it at the end of this section.

#### 3.1. The Proof of Theorem 1.3

Throughout this subsection  $p$  is an odd prime,  $G$  is a minimal counterexample to Theorem 1.3, and  $A$  is a nontrivial strongly closed subgroup of  $G$  that is a proper subgroup of the Sylow  $p$ -subgroup  $S$  of  $G$ . The minimality implies that if  $H$  is any proper section of  $G$  containing a nontrivial minimal strongly closed (with respect to  $H$ )  $p$ -subgroup  $A_0$ , then either  $A_0$  is a Sylow subgroup of its normal closure in  $H$  or the normal closure of  $A_0$  in  $\bar{H}$  is a direct product of isomorphic simple groups, as described in the conclusion of Theorem 1.2, where overbars denote passage to  $H/\mathcal{O}_{A_0}(H)$ . In particular,  $A_0$  does not even have to be a subgroup of  $A$ , although for the most part we will be applying this inductive assumption to subgroups  $A_0 \leq A \cap H$  (which we often show is nontrivial by invoking part (2) of Lemma 2.3).

Familiar facts about the families of simple groups, including the sporadic groups, are often stated without reference. All of these can be found in the excellent, encyclopedic source [20]. Specific references are cited for less familiar results that are crucial to our arguments.

**Lemma 3.1.**  *$G$  is a simple group.*

PROOF. Since strong closure inherits to quotient groups, if  $\mathcal{O}_A(G) \neq 1$  we may apply induction to  $G/\mathcal{O}_A(G)$  and see that the asserted conclusion holds. Thus we may assume  $\mathcal{O}_A(G) = 1$ , i.e.,

$$A \cap N \text{ is not a Sylow } p\text{-subgroup of } N \text{ for any nontrivial } N \trianglelefteq G. \quad (3)$$

In particular,

$$O_{p'}(G) = 1. \quad (4)$$

Let  $G_0 = \langle A^G \rangle$  and assume  $G_0 \neq G$ . By (3),  $A$  is not a Sylow  $p$ -subgroup of  $G_0$ . Let  $1 \neq A_0 \leq A$  be a minimal strongly closed subgroup of  $G_0$ . By the inductive hypothesis  $A_0$  is contained in a semisimple normal subgroup  $N$  of  $G_0$  satisfying the conclusions of the theorem. Since  $N$  is subnormal in  $G$  it follows that  $M = \langle N^G \rangle$  is a semisimple normal subgroup of  $G$  whose simple components are described by Theorem 1.2. Since  $A$  is minimal strongly closed in  $G$  and  $1 \neq A_0 \leq A \cap M$ ,  $A \leq M$  and the conclusion of Theorem 1.3 is seen to hold. Thus

$$G \text{ is generated by the conjugates of } A. \quad (5)$$

By strong closure  $A \cap O_p(G) \trianglelefteq G$ , hence by (3),  $A \cap O_p(G) = 1$ . Thus  $[A, O_p(G)] \leq A \cap O_p(G) = 1$ , i.e.,  $A$  centralizes  $O_p(G)$ . Since  $G$  is generated by conjugates of  $A$ ,

$$O_p(G) \leq Z(G). \quad (6)$$

By (4) and (6),  $F^*(G) = Z(G)E(G)$  with  $Z(G) = O_p(G)$ . Then  $E(G)$  is a product of commuting quasisimple components,  $L_1, \dots, L_r$ , each of which has a nontrivial Sylow  $p$ -subgroup. Since  $A$  now acts faithfully on  $E(G)$ , by Lemma 2.3 we have  $A \cap E(G) \neq 1$ . The minimality of  $A$  then forces  $A \leq E(G)$ . Thus  $A$  normalizes each  $L_i$ , whence so does  $G$  by (5). Now  $A$  acts nontrivially on one component, say  $L_1$ , so again by Lemma 2.3,  $A \cap L_1 \neq 1$ . By minimality of  $A$  we obtain  $A \leq L_1 \trianglelefteq G$ , so by (5)

$$G = L_1 \text{ is quasisimple (with center of order a power of } p).$$

Finally, assume  $Z(G) \neq 1$  and let  $\tilde{G} = G/Z(G)$ . Since  $A \neq S$  but  $A \cap Z(G) = 1$ , by Gaschütz's Theorem we must have that  $S \neq AZ(G)$  and so  $\tilde{A}$  is strongly closed but not Sylow in the simple group  $\tilde{G}$ . Since  $|\tilde{G}| < |G|$ , the pair  $(\tilde{G}, \tilde{A})$  satisfy the conclusions of Theorem 1.3; in particular,  $\tilde{A} = \Omega_1(Z(\tilde{S}))$  in all cases. If  $\tilde{G}$  is a group of Lie type in conclusion (i), again by Gaschütz's Theorem together with the irreducible action of  $N_{\tilde{G}}(\tilde{S})$  on  $\Omega_1(\tilde{S})$ ,  $\tilde{A}$  must lift to a non-abelian group in  $G$ . In this situation  $Z(G) \leq A'$ , contrary to  $A \cap Z(G) = 1$ . In conclusions (ii), (iii) and (iv) the  $p$ -part of the multipliers of the simple groups are all trivial, so  $Z(G) = 1$  in these cases. In case (v) when  $\tilde{G} \cong J_3$  and  $\tilde{A} = Z(\tilde{S})$  by the fixed point free action of an element of order 8 in  $N_G(S)$  on  $S$  it again follows easily that  $\tilde{A}$  must lift to the non-abelian group of order 27 and exponent 3 in  $G$ , contrary to  $A \cap Z(G) = 1$ . This shows  $Z(G) = 1$  and so  $G$  is simple. The proof is complete.

**Lemma 3.2.** *A is not cyclic and S is non-abelian.*

PROOF. If  $A$  is cyclic then since  $\Omega_1(A)$  is also strongly closed, the minimality of  $A$  gives that  $|A| = p$ . Then  $G$  is not a counterexample by Proposition 2.1. Likewise if  $S$  is abelian, by Proposition 2.5 it is homocyclic with  $N_G(S)$  acting irreducibly and nontrivially on  $\Omega_1(S)$ . By minimality of  $A$  we must then have  $A = \Omega_1(S)$  and the exponent of  $S$  is greater than  $p$ . None of the sporadic or alternating groups or groups of Lie type in characteristic  $p$  contain such Sylow  $p$ -subgroups, so  $G$  must be a group of Lie type in characteristic  $\neq p$ . Again,  $G$  is not a counterexample, a contradiction.

Note that because  $A$  is a noncyclic normal subgroup of  $S$  and  $p$  is odd,  $A$  contains an abelian subgroup  $U$  of type  $(p, p)$  with  $U \trianglelefteq S$ . Furthermore,  $|S : C_S(U)| \leq p$  so  $U$  is contained in an elementary abelian subgroup of  $S$  of maximal rank.

Lemmas 3.3 to 3.7 now successively eliminate the families of simple groups as possibilities for the minimal counterexample. The argument used to eliminate the alternating groups is a prototype for the more complicated situation of Lie type groups, so slightly more expository detail is included.

**Lemma 3.3.** *G is not an alternating group.*

PROOF. Assume  $G \cong A_n$  for some  $n$ . Since  $S$  is non-abelian,  $n \geq p^2$ . If  $p \nmid n$  then  $S$  is contained in a subgroup isomorphic to  $A_{n-1}$ , which contradicts the minimality of  $G$  (no alternating group satisfies the conclusions in Theorem 1.2). Thus  $n = ps$  for some  $s \in \mathbb{N}$  with  $s \geq p$ .

Let  $E$  be a subgroup of  $S$  be generated by  $s$  commuting  $p$ -cycles. Since  $E$  contains a conjugate of every element of order  $p$  in  $G$ ,  $A \cap E \neq 1$ . We claim  $E \leq A$ . Let  $z = z_1 \cdots z_r \in A \cap E$  be a product of commuting  $p$ -cycles  $z_i$  in  $E$  with  $r$  minimal. If  $r \geq 3$  there is an element  $\sigma \in A_n$  that inverts both  $z_r$  and  $z_{r-1}$  and centralizes all other  $z_i$ ; and if  $r = 2$ , since  $n \geq 3r$  there is an element  $\sigma \in A_n$  that inverts  $z_2$  and centralizes  $z_1$ . In either case, by strong closure  $z^\sigma \in A \cap E$  and  $zz^\sigma = z_1^2 \cdots z_{r-2}^2$  or  $z_1^2$  respectively. Hence  $zz^\sigma$  is an element of  $A \cap E$  that is a product of fewer commuting  $p$ -cycles, a contradiction. This shows  $A$  contains a  $p$ -cycle, hence by strong closure  $E \leq A$ . Now  $A_n$  contains a subgroup  $H$  with

$$S \leq H = N_{A_n}(E) \quad \text{and} \quad H \cong Z_p \wr A_s. \quad (7)$$

By our inductive assumption  $H$  contains a normal subgroup  $N = \mathcal{O}_A(H)$  with  $E \leq N$  such that  $A \cap N$  is a Sylow  $p$ -subgroup of  $N$  and  $H/N$  a product of simple components described in Theorem 1.2. Since  $H$  is a split extension over  $E$  and every element of  $H$  of order  $p$  is conjugate to an element of  $E$ , by strong closure  $A \neq E$ . Since  $H/E \cong A_s$  is not one of the simple groups in Theorem 1.2 it follows that  $N = H$  (in the cases where  $s = 3$  or  $4$  as well), contrary to  $A \neq S$ . This contradiction establishes the lemma.

Alternatively, one could argue from (7) and induction that  $S = \Omega_1(S)$ , and so again  $S = A$  by strong closure, a contradiction.

**Lemma 3.4.**  *$G$  is not a classical group (linear, unitary, symplectic, orthogonal) over  $\mathbb{F}_q$ , where  $q$  is a prime power not divisible by  $p$ .*

PROOF. Assume  $G$  is a classical simple group. Following the notation in [20, Theorem 4.10.2], let  $V$  be the classical vector space associated to  $G$  and let  $X = \text{Isom}(V)$ . We may assume  $\dim V \geq 7$  in the orthogonal case because of isomorphisms of lower-dimensional orthogonal groups with other classical groups (the dimension is over  $\mathbb{F}_{q^2}$  in the unitary case). The tables in [22, Chapter 4] are helpful references in this proof.

First consider when  $G$  is neither a linear group with  $p$  dividing  $q - 1$  nor a unitary group with  $p$  dividing  $q + 1$ . This restriction implies that  $p \nmid |X : X'|$  and there is a surjective homomorphism  $X' \rightarrow G$  whose kernel is a  $p'$ -group. Thus we may do calculations in  $X$  in place of  $G$  (taking care that conjugations are done in  $X'$ ). Proposition 2.4 is realized explicitly in this case as follows: There is a decomposition

$$V = V_0 \perp V_1 \perp \cdots \perp V_s$$

of  $V$  ( $\perp$  denotes direct sum in the linear case), where  $\text{Isom}(V_0)$  is a  $p'$ -group, the cyclic group of order  $p$  has an orthogonally indecomposable representation on each other  $V_i$ , the  $V_i$  are all isometric, and a Sylow  $p$ -subgroup of  $\text{Isom}(V_i)$  is cyclic. Furthermore,  $X'$  contains a subgroup isomorphic to  $A_s$  permuting  $V_1, \dots, V_s$  and the stabilizer in  $X'$  of the set  $\{V_1, \dots, V_s\}$  contains a Sylow  $p$ -subgroup of  $X$ . In other words, we may assume

$$S \leq H \cong \text{Isom}(V_1) \wr A_s. \tag{8}$$

In the notation of Proposition 2.4, let  $S \cap \text{Isom}(V_i) = \langle u_i \rangle$ , where  $u_i$  acts trivially on  $V_j$  for all  $j \neq i$ . Then  $S_T = \langle u_1, \dots, u_s \rangle$  and  $S_W$  is a Sylow  $p$ -subgroup of  $A_s$ . Since  $S$  is non-abelian,  $S_W \neq 1$  and so  $s \geq p \geq 3$ . Let  $z_i$  be an element of order  $p$  in  $\langle u_i \rangle$ , and let

$$E = \langle z_1, \dots, z_s \rangle = \Omega_1(S_T) \cong E_{p^s}.$$

The faithful action of  $S_W$  on  $S_T$  forces  $Z(S) \leq S_T$ , so  $A \cap E \neq 1$ .

We claim  $E \leq A$ . As in the alternating group case, let  $z$  be a nontrivial element in  $A \cap E$  belonging to the span of  $r$  of the basis elements  $z_i$  in  $E$  with  $r$  minimal. After renumbering and replacing each  $z_i$  by another generator for  $\langle z_i \rangle$  if necessary, we may assume  $z = z_1 \cdots z_r$ . If  $r \geq 3$  there is an element  $\sigma \in G$  that acts trivially on  $z_1, \dots, z_{r-2}$  and normalizes but does not centralize  $\langle z_{r-1}, z_r \rangle$ ; and if  $r = 2$ , since  $s \geq 3$  there is an element  $\sigma \in G$  that centralizes  $z_1$  and normalizes but does not centralize  $\langle z_2 \rangle$ . In both cases  $z^\sigma z^{-1}$  is a nontrivial element of  $A \cap E$  that is a product of fewer basis elements. This shows  $z_i \in A$  for some  $i$  and so  $E \leq A$  since all  $z_j$  are conjugate in  $G$ .

By Proposition 2.4(5) in this setting, every element of order  $p$  in  $G$  is conjugate to an element of  $E$ . Since the extension in (8) is split,  $A \not\leq S_T$ . By the overall induction hypothesis applied in  $H$  (or because a Sylow  $p$ -subgroup of  $A_s$

is generated by elements of order  $p$ ), it follows that  $A$  covers  $S/S_T$ . We may therefore choose a numbering so that for some  $x \in A$ ,  $u_1^x = u_2$ . Thus

$$u = u_1 u_2^{-1} = [u_2, x] \in A \cap \text{Isom}(V_1 \perp \cdots \perp V_{s-1}).$$

Let  $Y = G \cap \text{Isom}(V_1 \perp \cdots \perp V_{s-1})$  so that  $Y$  is also a classical group of the same type as  $G$  over  $\mathbb{F}_q$ . Note that the dimension of the underlying space on which  $Y$  acts is at least  $2(s-1)$  by our initial restrictions on  $q$ . Since  $Y$  is proper in  $G$ , by induction applied using a minimal strongly closed subgroup  $A_0$  of  $A \cap Y$  in  $Y$  we obtain the following: either  $A_0$  (hence also  $A$ ) contains a Sylow  $p$ -subgroup of  $Y$ , or the Sylow  $p$ -subgroups of  $Y$  are homocyclic abelian with  $A_0 \cap Y$  elementary abelian of the same rank as a Sylow  $p$ -subgroup of  $Y$ . Furthermore, in the latter case a Sylow  $p$ -normalizer acts irreducibly on  $A_0$ , and hence the strongly closed subgroup  $A \cap Y$  is also homocyclic abelian. Since  $A \cap Y$  contains the element  $u$  of order  $d$ , where  $d = |u_1|$ , in either case  $A \cap Y$  contains all elements of order  $d$  in  $S \cap Y$ . Since  $u_1 \in S \cap Y$  this proves  $u_1 \in A$ . By (8) all  $u_i$  are conjugate in  $G$  to  $u_1$ , hence  $S_T \leq A$  and so  $A = S$  a contradiction.

It remains to consider the cases where  $V$  is of linear or unitary type and  $p$  divides  $q-1$  or  $q+1$  respectively (denoted as usual by  $p \mid q - \epsilon$ ). Now replace the simple group  $G$  by its universal quasisimple covering  $SL^\epsilon(V)$ . Likewise replace  $A$  by the  $p$ -part of its preimage. Thus  $A$  is a noncyclic (hence noncentral) strongly closed  $p$ -subgroup of  $SL^\epsilon(V)$ . In this situation  $S = S_T S_W$  where we may assume  $S_T$  is the group of  $p$ -power order diagonal matrices of determinant 1 (over  $\mathbb{F}_{q^2}$  in the unitary case), and  $S_W$  is a Sylow  $p$ -subgroup of the Weyl group  $W$  of permutation matrices permuting the diagonal entries. Furthermore,  $S_T$  is homocyclic of exponent  $d$ , where  $d = |q - \epsilon|_p$ , and is a trace 0 submodule of the natural permutation module for  $W$  of exponent  $d$  and rank  $m = \dim V$ . Since  $A$  is noncyclic, it contains a noncentral element  $z$  of order  $p$ ; and by Proposition 2.4,  $z$  is conjugate to an element of  $S_T$ , i.e., is diagonalizable. Arguing as above with  $E = \Omega_1(S_T)$  we reduce to the case where  $z$  is represented by the matrix  $\text{diag}(\zeta, \zeta^{-1}, 1, \dots, 1)$  for some primitive  $p^{\text{th}}$  root of unity  $\zeta$ . The action of  $W$  again forces  $E \leq A$ . Again, every element of order  $p$  in  $S$  is conjugate in  $G$  to an element of  $E$ , so by strong closure

$$\Omega_1(S) \leq A. \tag{9}$$

Consider first when  $m \geq 5$ . Then  $C_G(z)$  contains a quasisimple component  $L \cong SL_{m-2}^\epsilon(q)'$ . Since  $L$  contains a conjugate of  $z$ , the inductive argument used in the general case shows that  $A \cap L$  contains a diagonal matrix element of order  $d$ , hence contains such an element centralizing an  $(n-2)$ -dimensional subspace. The strong closure of  $A$  then again yields  $S_T \leq A$ ; and as before by induction or because  $S = S_T \Omega_1(S)$  we get  $A = S$ , a contradiction.

Thus  $\dim V \leq 4$ , and since  $S_W \neq 1$  we must have  $p = 3$ . If  $G \cong SL_4^\epsilon(q)$  then let  $z$  be represented by the diagonal matrix  $\text{diag}(\zeta, \zeta, \zeta, 1)$ , where  $\zeta$  is a primitive 3<sup>rd</sup> root of unity. Then  $C_G(z)$  contains a Sylow 3-subgroup of  $G$  and a component of type  $SL_3^\epsilon(q)$ , so the preceding argument leads to a contradiction.

Finally, consider when  $G \cong SL_3^\xi(q)$ . The Sylow 3-subgroups of  $SL_3^\xi(q)$  are described in the proof of Proposition 2.7. In both instances  $S_T$  is homocyclic of rank 2 and exponent  $d$  with generators  $u_1, u_2$ , and with  $S_W = \langle w \rangle \cong Z_3$  acting by

$$u_1^w = u_2 \quad \text{and} \quad u_2^w = u_1^{-1}u_2^{-1}.$$

Thus  $u_1w$  has order 3, and so  $u_1 = (u_1w)w^{-1} \in \Omega_1(S)$ . By (9), this again forces  $A = S$ , which gives the final contradiction.

**Lemma 3.5.**  *$G$  is not an exceptional group of Lie type (twisted or untwisted) over  $\mathbb{F}_q$ , where  $q$  is a prime power not divisible by  $p$ .*

PROOF. Assume  $G = \mathcal{L}(q)$  is an exceptional group of Lie type over  $\mathbb{F}_q$  with  $p \nmid q$ . Throughout this proof we rely on the Sylow structure for  $G$  as described in Proposition 2.4. It shows, in particular, that we need only consider when the odd prime  $p$  divides both order of the Weyl group of the untwisted group corresponding to  $G$  and  $pm_0 \mid m$  for some  $m \in \mathcal{O}(G)$ ; in all other cases the proposition gives that the Sylow  $p$ -subgroup is homocyclic abelian. The cyclotomic factors  $\Phi_m(q)$  and their “multiplicities”  $r_m$  for each of the exceptional groups are listed explicitly in [18, Table 10:2]. Note that  $3 \mid q^2 - 1$ , so in this case  $m_0$  is 1 or 2; also,  $5 \mid q^4 - 1$ , so in this case  $m_0$  is 1, 2, or 4; finally,  $7 \mid q^6 - 1$ , so in this case  $m_0$  is 1, 2, 3, or 6. In the notation of Proposition 2.4, except in the case  ${}^3D_4(q)$  we have  $S = S_T S_W$  (split extension) where  $S_T$  is a normal homocyclic abelian subgroup of exponent  $|\Phi_{m_0}(q)|_p$  and rank  $r_{m_0}$ , and  $|S_W| = p^b$ , where  $b$  is defined in (2).

The exceptional groups are listed in Table 3A along with  $p$  dividing the order of the Weyl group, permissible  $m_0$  such that  $m = p^a m_0$  for some  $m \in \mathcal{O}(G)$  with  $a \geq 1$ , and the corresponding  $r_{m_0}$  and  $p^b$  for each of these (in the case of  ${}^3D_4(q)$  we define  $3^b$  so that  $|S| = (|\Phi_{m_0}(q)|_p)^{r_{m_0}} 3^b$ ).

We consider all these cases, working from largest to smallest — the latter requiring more delicate examination. Table 4-1 in [18] is used frequently without specific citation: it lists all the “large” subgroups of various families of Lie type groups that we shall employ. It is helpful to keep in mind the description of the order of a Sylow  $p$ -subgroup in Proposition 2.4 when comparing the  $p$ -part of  $|G|$  to that of its Lie-type subgroups.

**Case  $p = 7$ :**  $E_8(q)$  contains both  $A_8(q)$  and  ${}^2A_8(q)$  and so, by inspection of orders, shares a Sylow 7-subgroup with it in the cases (1,8,7) and (2,8,7) respectively (the Sylow 7-subgroup order is seen to be  $7 \cdot |q - \epsilon|_7^8$  for each group). Likewise  $E_7(q)$  contains both  $A_7(q)$  and  ${}^2A_7(q)$  and so shares a Sylow 7-subgroup with it in the cases (1,7,7) and (2,7,7) respectively. By minimality of  $G$  all the  $p = 7$  cases are eliminated.

**Case  $p = 5$ :** The same containments in the preceding paragraph for  $E_7(q)$  show these groups share a Sylow 5-subgroup in cases (1,7,5) and (2,7,5). Similarly,  $E_8(q)$  contains  $SU_5(q^2)$  and shares a Sylow 5-subgroup with it in the case (4,4,5). By minimality these  $p = 5$  cases are eliminated.

**Table 3A**

Group	Prime $p$	Permissible $(m_0, r_{m_0}, p^b)$
${}^3D_4(q)$	3	$(1, 2, 3^2), (2, 2, 3^2)$
$G_2(q)$	3	$(1, 2, 3), (2, 2, 3)$
$F_4(q)$	3	$(1, 4, 3^2), (2, 4, 3^2)$
${}^2F_4(2^n)'$	3	$(2, 2, 3)$
$E_6(q)$	3	$(1, 6, 3^4), (2, 4, 3^2)$
	5	$(1, 6, 5)$
${}^2E_6(q)$	3	$(1, 4, 3^2), (2, 6, 3^4)$
	5	$(2, 6, 5)$
$E_7(q)$	3	$(1, 7, 3^4), (2, 7, 3^4)$
	5	$(1, 7, 5), (2, 7, 5)$
	7	$(1, 7, 7), (2, 7, 7)$
$E_8(q)$	3	$(1, 8, 3^5), (2, 8, 3^5)$
	5	$(1, 8, 5^2), (2, 8, 5^2), (4, 4, 5)$
	7	$(1, 8, 7), (2, 8, 7)$

Assume  $G \cong E_8(q)$ . Using the same large subgroups as in the  $p = 7$  case, the Sylow 5-subgroup  $S$  has a subgroup  $S_0$  of index 5 that lies in a subgroup  $G_0$  of  $G$  of type  $A_8(q)$  or  ${}^2A_8(q)$  according to whether we are in cases  $(1, 8, 5^2)$  or  $(2, 8, 5^2)$  respectively. By Proposition 2.4 applied to  $G_0$  it follows that  $S_0$  is non-abelian; and since  $|A| > 5$ ,  $A \cap S_0 \neq 1$ . Thus by induction applied to a minimal strongly closed subgroup  $A_0 \leq A \cap S_0$  in  $G_0$  we obtain  $S_0 \leq A$ . Moreover, by Proposition 2.4 it follows that  $S_T \leq S_0$ . Since  $A$  is non-abelian and since the normalizer of a Sylow 5-subgroup of the Weyl group of  $E_8$  acts irreducibly on the Sylow 5-subgroup of  $W$  (which is abelian of type  $(5,5)$ ), the strongly closed subgroup  $A$  containing  $S_T$  cannot have index 5 in  $S$ , a contradiction. This eliminates all  $E_8(q)$  cases for  $p = 5$ .

Adopting the notation following Proposition 2.4, assume  $G \cong E_6^c(q)$ , where  $5 \mid q - \epsilon$  and  $S_T$  has rank 6 and index 5 in  $S$ . Then  $G$  shares the Sylow 5-subgroup  $S$  with  $G_0 = L_1 * L_2$ , where  $L_1$  and  $L_2$  are central quotients of  $SL_2^c(q)$  and  $SL_6^c(q)$  respectively (both of whose centers have order prime to 5). Since  $A$  is not cyclic, it does not centralize  $L_2$ ; hence it follows from Lemma 2.3 that  $A \cap L_2 \neq 1$ . Since  $S \cap L_2$  is non-abelian, by induction  $S \cap L_2 \leq A$ . In particular,  $A$  contains a homocyclic abelian subgroup of rank 5 and exponent  $|q - \epsilon|_5$ , and  $S/A$  is cyclic. Now  $G$  also contains a subgroup  $G_1 = K_1 * K_2 * K_3$  with each  $K_i \cong SL_3^c(q)$ , where we may assume  $S \cap G_1 \in Syl_5(G_1)$ . Each  $K_i$  contains a homocyclic abelian subgroup  $B_i$  of rank 2 and exponent  $|q - \epsilon|_5$  with  $N_{K_i}(B_i)$  acting irreducibly on  $\Omega_1(B_i)$ . Because  $S/A$  is cyclic it follows that  $B_1 \times B_2 \times B_3 = S_T \leq A$ ; and since  $A$  is non-abelian,  $A = S$ . This completes the elimination of all  $p = 5$  cases.

We next consider the various  $p = 3$  cases, leaving the nettlesome groups of type  $G_2(q)$  and  ${}^3D_4(q)$  until the very end.

**Case  $p = 3$  and  $m_0 = 1$ :** Here  $3 \mid q - 1$ . If  $G \cong F_4(q)$  then it contains the universal group  $G_0 = B_4(q)^u$ . By inspection of the order formulas,  $G_0$  may be chosen to contain a subgroup  $S_0$  of index 3 in  $S$  which, by Proposition 2.4, is non-abelian. Since  $|A| > 3$  we have  $S_0 \cap A \neq 1$  so, as usual, the minimality of  $G$  forces  $S_0 \leq A$ . Thus  $S_0 = A$  has index 3 in  $S$ . Furthermore, since a Sylow 3-subgroup of the Weyl group of  $B_4$  has order 3, we get that  $A$  has an abelian subgroup of index 3. But now by [20, Table 4.7.3A] there is an element  $t$  of order 3 in  $G$  such that  $C = O^{3'}(C_G(t)) = L_1 * L_2$  where  $L_i \cong SL_3(q)$  for  $i = 1, 2$ . Choose a suitable representative of this class so that  $C_S(t) \in \text{Syl}_3(C)$ . Then  $A \cap L_i \not\leq Z(L_i)$ , so because each Sylow subgroup  $S \cap L_i$  is non-abelian, by induction  $S \cap L_i \leq A$  for  $i = 1, 2$ . This gives a contradiction because  $S \cap L_1 L_2$  clearly does not have an abelian subgroup of index 3.

Since  ${}^2E_6(q)$  shares a Sylow 3-subgroup with a subgroup of type  $F_4(q)$  this family is eliminated by minimality of  $G$ .

Consider when  $G$  is one of  $E_6(q)$ ,  $E_7(q)$  or  $E_8(q)$ . In these cases  $S_T$  is homocyclic of the same rank as  $G$  and  $S_T$  lies in a maximal split torus  $T$  of  $G$  with  $W = N_G(T)/C_G(T)$  isomorphic to the Weyl group of  $G$ . Note that  $W$  acts on the Sylow 3-subgroup  $S_T$  of  $T$ ; moreover, in each case  $W$  acts irreducibly on  $\Omega_1(S_T)$ , and  $Z(S) \leq S_T$ . By strong closure of  $A$  we obtain

$$\Omega_1(S_T) \leq A. \tag{10}$$

There are containments:  $F_4(q) \leq E_6(q) \leq E_7(q) \leq E_8(q)$ , with corresponding containments of their maximal split tori. Thus by (10), in each exceptional family  $A$  nontrivially intersects a subgroup,  $G_0$ , of  $G$  of smaller rank in this chain. Since the Sylow 3-subgroups of each  $G_0$  are non-abelian, by minimality of  $G$  and the preceding results we get that  $A$  contains a Sylow 3-subgroup of the respective subgroup  $G_0$ . Since then  $A$  is non-abelian, it is not contained in  $S_T$ . Now the Weyl group of  $G$  is of type  $U_4(2) \cdot 2$ ,  $Z_2 \times S_6(2)$ , or  $2 \cdot O_8^+(2) \cdot 2$ , so by induction applied in  $N_G(T)$  it follows that  $A$  covers a Sylow 3-subgroup of  $W$ . Finally, the irreducible action of  $W$  on  $S_T/\Phi(S_T)$  forces  $S_T \leq A$ , and so  $A = S$ , a contradiction.

**Case  $p = 3$  and  $m_0 = 2$ :** Here  $3 \mid q + 1$ . The argument employed when  $3 \mid q - 1$  mutatis mutandis eliminates  $F_4(q)$  as a possibility (using  $L_i \cong SU_3(q)$  in this case). The groups  ${}^2F_4(2^n)'$  — including the Tits simple group — share a Sylow 3-subgroup with their subgroups  $SU_3(2^n)$ , and so are eliminated by induction. Also,  $E_6(q)$  shares a Sylow 3-subgroup with its subgroup  $F_4(q)$ , hence it is eliminated. To eliminate  $E_8(q)$ ,  $E_7(q)$  and  ${}^2E_6(q)$  we refer to the table of centralizers of elements of order 3 in these groups: [20, Table 4.7.3A].

First assume  $G \cong E_8(q)$ . By [20, Table 4.7.3B],  $G$  contains a subgroup  $X \cong L_1 \times L_2$ , where the two components are conjugate and of type  $U_5(q)$ . We may assume  $S \cap X \in \text{Syl}_3(X)$ . Since  $\Omega_1(S_T)$  is the unique elementary abelian subgroup of  $S$  of rank 8,  $\Omega_1(S_T) \leq X$ ; in particular,  $A \cap X \neq 1$ . As usual,

by minimality of  $G$  we obtain  $S \cap X \leq A$ , and the “toral subgroup” for  $S \cap X$  lies in  $S_T$ . Order considerations then give  $S_T \leq A$  and  $|S : A| \leq 3^3$ . Now the centralizer of an element of order 3 in  $Z(S)$  is of type  $({}^2E_6(q) * SU_3(q))3$ , where the two factors share a common center of order 3. Since  $S_T \leq A$  it follows that  $A$  acts nontrivially on, hence contains a Sylow 3-subgroup of, each component (or of  $SU_3(2)$  when  $q = 2$ ). This implies  $A$  covers  $S/S_T \cong S_W$ , as needed to give the contradiction  $A = S$ .

Let  $G \cong E_7(q)$ . Then  $G$  contains a subgroup  $X \cong SU_8(q)$  with  $S \cap X \in Syl_3(X)$ . Since  $S \cap X$  has the same “toral subgroup” as  $S$ , as usual we obtain  $S \cap X \leq A$ ,  $S_T \leq A$  and  $|S : A| \leq 3^2$ . Now  $S$  also contains an element of order 3 whose centralizer has a component of type  ${}^2E_6(q)$  (universal version). Since as usual  $A$  contains a Sylow 3-subgroup of this component it follows that  $A$  covers  $S/S_T$  and so  $A = S$ , a contradiction.

Finally, assume  $G \cong {}^2E_6(q)$ . Since by [8]  ${}^2E_6(2)$  shares a Sylow 3-subgroup with a subgroup of type  $Fi_{22}$ , by minimality of  $G$  we may assume  $q > 2$ . Let  $X$  be the centralizer of an element of order 3 in  $Z(S)$ , so  $X \cong (L_1 * L_2 * L_3)(3 \times 3)$ , where each  $L_i \cong SU_3(q)$ , the central product  $L_1 L_2 L_3$  has a center of order 3, an element of  $S$  cycles the three components, and another element of  $S$  induces outer diagonal automorphisms on each  $L_i$ . As usual, it follows easily that  $A$  contains a Sylow 3-subgroup of  $S \cap X$ . By order considerations

$$|S_T : S_T \cap A| \leq 3 \quad \text{and} \quad |S : A| \leq 9.$$

Now there is an element  $t$  of order 3 in  $S$  such that

$$C = C_G(t) = D * T_1, \quad \text{where } D \cong D_5^-(q) \quad \text{and} \quad T_1 \cong Z_{q+1},$$

and we may choose  $t$  so that  $S_0 = C_S(t) \in Syl_3(C)$ . Let  $S_1 = S \cap D$  and  $S_2 = S \cap T_1$ , and note that  $\langle t \rangle = \Omega_1(T_1)$ . Since the Schur multiplier of  $D$  has order prime to 3,  $S_0 = S_1 \times S_2$ . It follows as usual that  $S_1 \leq A$ .

Now let  $w \in S - S_0$  and let  $t_1 = t^w$ . Then  $t_1 \neq t$  and  $S_0 \in Syl_3(C_G(t_1))$ . By symmetry, the strongly closed subgroup  $A$  contains the Sylow 3-subgroup  $S_1^w$  of the component  $D^w$  of  $C_G(t_1)$ . Since  $t_1$  acts faithfully on  $D$ , so too  $S_2^w$  acts faithfully on  $D$ , from which it follows that

$$S_2 \leq S_1 S_1^w \leq A.$$

Moreover,  $A$  contains the “toral subgroup” of  $C$  of type  $(q+1)^6$  (in the universal version of  $G$ ), so  $S_T \leq A$  and hence  $A$  is the subgroup of  $S$  that normalizes each component  $L_i$  of  $X$ . Since  $S_W$  is generated by elements of order 3 (in the universal version of  $G$ ),  $S = A \langle x \rangle$  for some element  $x$  of order 3. Since no conjugate of  $x$  lies in  $A$  we may further assume  $C_S(x) \in Syl_3(C_G(x))$ . Since  $\langle x \rangle$  cycles  $L_1, L_2, L_3$  it follows that the 3-rank of  $C_G(x)$  is at most 5: this restricts the possibilities for the type of  $x$  in [20, Table 4.7.3A]. In all possible cases  $C_G(x)$  contains a product,  $L$ , of one or two components with  $C(L)$  cyclic. The same argument that showed  $S_2 \leq A$  may now be applied to show  $x \in A$ , a contradiction. This completes the proof for these families.

**Case  $G_2(q)$  and  ${}^3D_4(q)$  where  $q \equiv \epsilon \pmod{3}$ :** If  $G \cong G_2(q)$  then by Proposition 2.7  $Z(S) \cong Z_3$  is the unique candidate for  $A$ , contrary to Lemma 3.2. Thus the minimal counterexample is not of type  $G_2(q)$ .

Assume  $G \cong {}^3D_4(q)$ . Then  $G$  contains a subgroup  $G_0$  isomorphic to  $G_2(q)$  (the fixed points of a graph automorphism of order 3), and by order considerations we may assume  $S_0 = S \cap G_0$  is Sylow in  $G_0$  and so has index 3 in  $S$ . As noted above,  $\langle z \rangle = Z(S_0)$  is of order 3 and is the unique nontrivial strongly closed (in  $G_0$ ) proper subgroup of  $S_0$ . Consider first when  $|A \cap S_0| > 3$ . Then since  $S_0$  is non-abelian, induction applied to  $G_0$  gives  $S_0 \leq A$ , and so  $A = S_0$ . Since by Proposition 2.1,  $z^{G_0} \cap S_0 = \{z^{\pm 1}\}$ , whereas  $\langle z \rangle$  is not strongly closed in  $G$ , there must be  $G$ -conjugates of  $z$  in  $S - S_0$ , contrary to  $A$  being strongly closed (one can see this fusion in a subgroup of  ${}^3D_4(q)$  of type  $PGL_3^\epsilon(q)$ ).

Thus  $A \cap S_0 = \langle z \rangle$  and so by Lemma 3.2,  $A = \langle z \rangle \times \langle y \rangle$  with  $z \sim y$  in  $G$ . Since  $[S, y] \leq \langle z \rangle$ ,  $y$  centralizes  $\Phi(S)$ . Since  ${}^3D_4(q)$  has 3-rank 2 and  $y \notin \Phi(S)$ , by Proposition 2.4(4) we must have  $|S| = 3^4$ . But then  $S_0$  is the non-abelian group of order 27 and exponent 3, and  $y$  centralizes a subgroup of index 3 in it, contrary to the 3-rank of  ${}^3D_4(q)$  being 2. This eliminates the possibility that  $G \cong {}^3D_4(q)$  and so completes the consideration of all cases.

**Lemma 3.6.**  *$G$  is not a group of Lie type (untwisted or twisted) in characteristic  $p$ .*

PROOF. Assume  $G$  is of Lie type (untwisted or twisted) over  $\mathbb{F}_q$  where  $q = p^n$ . Since  $G$  is a counterexample, it follows from Proposition 2.5 that  $G$  has  $BN$ -rank  $\geq 2$ . An end-node maximal parabolic subgroup  $P_1$  for each of the Chevalley groups (untwisted or twisted) containing the unipotent subgroup  $S$  is described in detail in [9] and [19] (for the classical groups these parabolics are the stabilizers in  $G$  of a totally isotropic one-dimensional subspace of the natural module.) For the groups of  $BN$ -rank 2 the other maximal parabolic,  $P_2$ , is also described in [19]. In each group  $P_i = Q_i L_i H$ , where  $Q_i = O_p(P_i)$ ,  $L_i$  is the component of a Levi factor of  $P_i$  and  $H$  is a  $p'$ -order Cartan subgroup.

Except for the 5-dimensional unitary groups and some groups over  $\mathbb{F}_3$  (which will be dealt with separately), for some  $i \in \{1, 2\}$  the group  $M = O_{p'}(P_i)$  satisfies the following conditions:

**Properties 3A.**

- (1)  $S \leq M$ ,
- (2)  $F^*(M) = O_p(M)$ ,
- (3)  $\bar{M} = M/O_p(M)$  is a quasisimple group of Lie type in characteristic  $p$ ,
- (4)  $\bar{M}$  is not isomorphic to  $U_3(p^n)$  or  $Re(3^n)$  (when  $p = 3$ ), for any  $n \geq 2$ ,
- (5)  $[O_p(M), \bar{M}] = O_p(M)$ , and
- (6) if  $Q = O_p(M)$  and  $Z = \Omega_1(Z(S))$ , then one of the following holds:
  - (i)  $Q$  is elementary abelian of order  $q^k$  for some  $k$ , or
  - (ii)  $Q$  is special of type  $q^{1+k}$  for some  $k$ , all subgroups of order  $p$  in  $Z$  are conjugate in  $G$ , and  $z^g \in S - Q$  for some  $z \in Z$ ,  $g \in G$ .

Basic information about this parabolic is listed in Table 3B. The last column of Table 3B indicates which of the two alternatives in Properties 3A(6) holds. The proofs that the fusion in Properties 3A(6ii) holds in each case may be found in [9].

**Table 3B**

Group	Parabolic	$Q$	$L/Z(L)$	3A(6)
$L_k(q)$ , $k \geq 3$	$P_1$	$q^{k-1}$	$L_{k-1}(q)$	(i)
$O_k^\pm(q)$ , $k \geq 7$	$P_1$	$q^{k-2}$	$O_{k-2}^\pm(q)$	(i)
$S_{2k}(q)$ , $k \geq 2$	$P_1$	$q^{1+2(k-1)}$	$S_{2k-2}(q)$	(ii)
$U_k(q)$ , $k \geq 4$ , $k \neq 5$	$P_1$	$q^{1+2(k-2)}$	$U_{k-2}(q)$	(ii)
$E_6(q)$	$P_1$	$q^{1+20}$	$L_6(q)$	(ii)
$E_7(q)$	$P_1$	$q^{1+32}$	$O_{12}^+(q)$	(ii)
$E_8(q)$	$P_1$	$q^{1+56}$	$E_7(q)$	(ii)
${}^2E_6(q)$	$P_1$	$q^{1+20}$	$U_6(q)$	(ii)
$G_2(q)$ , $q > 3$	$P_2$	$q^{1+4}$	$L_2(q)$	(ii)
$F_4(q)$	$P_1$	$q^{1+14}$	$S_6(q)$	(ii)
${}^3D_4(q)$	$P_2$	$q^{1+8}$	$L_2(q^3)$	(ii)
$U_5(q)$	$P_1$	$q^{1+6}$	$U_3(q)$	(ii)

Putting aside the last row for the moment, let  $M = O^{p'}(P_i)$  be chosen according to Table 3B. Since  $M$  does not have any composition factors isomorphic to  $U_3(p^n)$  or  $Re(3^n)$ , the minimality of  $G$  gives inductively that  $A \in \text{Syl}_p(\langle A^M \rangle)$ . If  $A \not\leq Q$ , then by the structure of  $M$  in Properties 3A(3) and (5),  $M \leq \langle A^M \rangle$ . But then  $A = S$  by (1), a contradiction. Thus

$$A \leq Q \quad \text{and} \quad A \trianglelefteq M. \quad (11)$$

Assume first that Properties 3A(6ii) holds. Then since  $A \trianglelefteq S$ ,  $Z \cap A \neq 1$ . The strong closure of  $A$  together with (6ii) forces  $Z \leq A$ , contrary to the existence of some  $z^g \in S - Q$ . This contradiction shows that  $G$  can only be among the families in the first two rows or the last row of Table 3B.

Assume now that  $Q$  is abelian, i.e.,  $G$  is a linear or orthogonal group. In these cases  $Q$  is elementary abelian and is the natural module for  $\overline{M}$ ; in particular,  $\overline{M}$  acts irreducibly on  $Q$ . By (11) we obtain  $A = Q$ . However, in these cases when  $G$  is viewed as acting on its natural module,  $Q$  is a subgroup of  $G$  that stabilizes the one-dimensional subspace generated by an isotropic vector and acts trivially on the quotient space. Since the dimension of the space is at least 3, one easily exhibits noncommuting transvections that stabilize a common maximal flag; hence there are conjugates of elements of  $Q$  in  $S$  that lie outside of  $Q$ , a contradiction.

In  $U_5(q)$  for  $q \geq 3$  the unipotent radical of the parabolic  $P_1$  is special of type  $q^{1+6}$  with  $Z = Z(S) = Z(Q_1)$  and all subgroups of order  $p$  in  $Z$  conjugate in

$P_1$  (so  $Z \leq A$ ). As in the other unitary groups, there exist  $z \in Z$  and  $g \in G$  such that  $z^g \in S - Q_1$ . Now  $L_1 \cong U_3(q)$  acts irreducibly on  $Q_1/Z$  and, by the strong closure of  $A$ ,  $A \cap Q$  is normal in  $P_1$ . Since  $z^g \in A$  and  $[Q_1, z^g] \leq A \cap Q_1$ , the irreducible action of  $L_1$  forces  $Q_1 \leq A$ . But now there is a root group  $U$  of type  $U_3(q)$  with  $U$  contained in  $Q_1$  such that  $S = Q_1 U^x$ , for some  $x \in G$ . Since  $U \leq A$ , this forces  $A = S$ , a contradiction.

It remains to treat the special cases when the Levi factors in Table 3B are not quasisimple:  $G \cong L_2(q)$ ,  $L_3(3)$ ,  $G_2(3)$ ,  $S_4(3)$ , or  $U_4(q)$  (in line 3 of Table 3B,  $S_2(q) = L_2(q)$ ). Properties of small order groups may be found in [8]. The groups  $L_2(q)$  have elementary abelian Sylow  $p$ -subgroups so  $G$  is not a counterexample in this instance. In  $L_3(3)$  we have  $S \cong 3^{1+2}$  and the action of the two maximal parabolic subgroups (stabilizers of one- and two-dimensional subspaces) easily show that the strong closure  $Z(S)$  in  $S$  is all of  $S$ , contrary to  $A \neq S$ .

If  $G \cong G_2(3)$  then since  $G$  has two (isomorphic) maximal parabolics containing  $S$ ,  $A$  is not normal in one of them, say  $P_1$ . By [8],  $P_1 = (W \times U) : L$  where  $W \cong 3^{1+2}$ ,  $U \cong Z_3 \times Z_3$ ,  $O_3(P_1) = WU$ , and  $L \cong GL_2(3)$  acts naturally on both  $U$  and  $W/W'$ . Since  $A$  projects onto a subgroup of order 3 in  $P_1/O_3(P_1) \cong L$ , we see that  $[A, W] \not\leq W'$  and  $[A, U] \neq 1$ . Both these commutators lie in the strongly closed subgroup  $A$ , so the action of  $L$  forces  $O_3(P) \leq A$ . Thus  $A = S$ , a contradiction.

If  $G \cong S_4(3)$  there are maximal parabolics of type  $P_1 = 3^{1+2} : SL_2(3)$  and  $P_2 = 3^3(S_4 \times Z_2)$ . Since  $P_1 = N_G(Z(S))$  it follows that the  $S_4$  Levi factor in  $P_2$  acts irreducibly on  $O_3(P_2)$ . Now  $A \cap O_3(P_2) \neq 1$  so  $O_3(P_2) \leq A$ . Likewise since  $A$  is a noncyclic strongly closed subgroup, it follows easily from the action of the Levi factor in  $P_1$  that  $O_3(P_1) \leq A$ . These together give  $A = S$ , a contradiction.

Finally, assume  $G \cong U_4(q)$ . From the isomorphism  $U_4(q) \cong O_6^+(q)$  we see that  $G$  contains a maximal parabolic  $P_2 = q^4 O_4^+(q) \cong q^4 L_2(q^2)$ , where the Levi factor is irreducible on the (elementary abelian) unipotent radical. This case has been eliminated by previous considerations. This final contradiction completes the proof of the lemma.

**Lemma 3.7.**  *$G$  is not one of the sporadic simple groups.*

PROOF. The requisite properties of the sporadic groups for this proof are nicely documented in [8], [18, Section 5], or [20, Section 5.3]; many of their proofs may be found in [1]. Facts from these sources are quoted without further attribution. Verification that the sporadic groups in conclusions (iv) and (v) of Theorem 1.2 indeed have strongly closed subgroups as asserted may also be found in these references. We clearly only need to consider groups where  $p^2$  divides the order; indeed, when the Sylow  $p$ -subgroup has order exactly  $p^2$  it is elementary abelian and  $G$  is not a counterexample in these cases.

If  $|S| = p^3$ , then in all cases the Sylow  $p$ -subgroup is non-abelian of exponent  $p$  and, with the exception of  $M_{12}$ ,  $N_G(S)$  acts irreducibly on  $S/Z(S)$ . In  $M_{12}$  with  $p = 3$ :  $S$  contains distinct subgroups  $U_1$  and  $U_2$ , each of order 9, such that  $N_G(U_i)$  acts irreducibly on  $U_i$  for each  $i$ . Since  $A$  is noncyclic and strongly

closed, in all cases these conditions force  $A = S$ , a contradiction. Thus we are reduced to considering when  $|S| \geq p^4$ .

We first argue that the following general configuration cannot occur in  $G$ :

**Properties 3B.**

- (1)  $Z(S) = Z \cong Z_p$ ,
- (2)  $N = N_G(Z)$  has  $Q = O_p(N)$  extraspecial of exponent  $p$  and width  $w > 1$  (denoted  $Q \cong p^{1+2w}$ ),
- (3)  $N$  acts irreducibly on  $Q/Q'$ , and
- (4)  $N/Q$  does not have a nontrivial strongly closed  $p$ -subgroup that is proper in a Sylow  $p$ -subgroup of  $N/Q$ .

By way of contradiction assume these conditions are satisfied in  $G$ . If  $A \not\leq Q$  then by (4) we obtain that  $A$  covers a Sylow  $p$ -subgroup of  $N/Q$ . In this case, the irreducible action of  $N$  on  $Q/Q'$  then forces  $Q \leq A$  and so  $A = S$ , a contradiction. Thus  $A \leq Q$ . Now  $Z \leq A$  but  $|A| > p$  so the irreducible action of  $N$  forces  $A = Q$ . Since  $A$  is minimal strongly closed, whence  $Z$  is not strongly closed, there is some  $x \in Q - Z$  such that  $x \sim z$  for  $z \in Z$ . Thus by Sylow's Theorem there is some  $g \in G$  such that

$$C_Q(x)^g \leq S \quad \text{and} \quad x^g = z.$$

By strong closure,  $C_Q(x)^g \leq Q$ . But since  $Q$  has width  $> 1$  we obtain  $Z^g \leq (C_Q(x)^g)' \leq Q' = Z$  and so  $g$  normalizes  $Z$ . This contradicts the fact that  $z^{g^{-1}} \notin Z$  and so proves these properties cannot hold in  $G$ .

Most sporadic groups are eliminated because they satisfy Properties 3B, or because they share a Sylow  $p$ -subgroup with a group that is eliminated inductively. All cases where  $|S| \geq p^4$  are listed in Table 3C along with the isomorphism type of the corresponding normalizer of a  $p$ -central subgroup (or another "large" subgroup, or reason for elimination). Some additional arguments must be made in a few cases.

When  $p = 5$  and  $G \cong Co_1$  the extraspecial  $Q = O_5(N)$  listed in Table 3C has width 1. As before, if  $A \not\leq Q$  then the irreducible action of  $N$  on  $Q/Q'$  forces  $A = S$ , a contradiction. Thus  $A \leq Q$  and again the irreducible action yields  $A = Q$ . However  $G$  contains a subgroup  $G_0 \cong Co_2$  whose Sylow 5-subgroup  $S_0$  is isomorphic to  $Q$  and has index 5 in  $S$ . Since  $|A \cap S_0| \geq 25$ , the irreducible action of  $N_{G_0}(S_0)$  on  $S_0/S_0'$  forces  $S_0 \leq A$ , and hence  $S_0 = A$ . But by Proposition 2.1,  $Z(S_0)$  is strongly closed in  $G_0$  but not strongly closed in  $G$ . Thus there is some  $g \in G$  such that  $Z(S_0)^g \leq S$  but  $Z(S_0)^g \not\leq S_0$ . This contradicts the fact that  $A = S_0$  is strongly closed in  $G$ , and so  $G \not\cong Co_1$ .

When  $p = 3$  and  $G \cong Fi_{23}$  it contains a subgroup  $H$  of type  $O_8^+(3) : S_3$  that may therefore be chosen to contain  $S$ . Let  $H_0 = H'' \cong O_8^+(3)$ . By Lemma 2.3,  $A \cap H_0 \neq 1$ ; and so by induction  $A$  contains the non-abelian Sylow 3-subgroup

**Table 3C**

Group	$Z(S)$ normalizer (or other reason)
<b><math>p = 7</math></b>	
$M$	$7^{1+4}(3 \times 2S_7)$
<b><math>p = 5</math></b>	
$Ly$	$5^{1+4}((4 * SL_2(9)).2)$
$Co_1$	$5^{1+2}GL_2(5)$
$HN$	$5^{1+4}(2^{1+4}(5 \cdot 4))$
$B$	$5^{1+4}(((Q_8 * D_8)A_5) \cdot 4)$
$M$	$5^{1+6}((4 * 2J_2) \cdot 2)$
<b><math>p = 3</math></b>	
$McL$	$3^{1+4}(2S_5)$
$Suz$	$3U_4(3)2$
$Ly$	$3McL2$
$ON$	(one class of $Z_3$ and $S = \Omega_1(S)$ )
$Co_1$	$3^{1+4}GSp_4(3)$
$Co_2$	$3^{1+4}((D_8 * Q_8) \cdot S_5)$
$Co_3$	$3^{1+4}((4 * SL_2(9)) \cdot 2)$
$Fi_{22}$	$(S \leq O_7(3))$
$Fi_{23}$	$(S \leq O_8^+(3) : S_3)$
$Fi'_{24}$	$3^{1+10}(U_5(2) \cdot 2)$
$HN$	$3^{1+4}(4 * SL_2(5))$
$Th$	(see separate argument)
$B$	$3^{1+8}(2^{1+6}O_6^-(2))$
$M$	$3^{1+12}(2Suz) \cdot 2$

$S_0 = S \cap H_0$  of  $H_0$ . Thus  $|S : A| = 3$ . Now  $H$  is generated by 3-transpositions in  $G$ , and so there are 3-transpositions  $t, t_1$  such that

$$D_1 = \langle t, t_1 \rangle \cong S_3 \quad \text{and} \quad H = H_0 : D_1.$$

Likewise  $t$  inverts some element of order 3 in  $H_0$ , i.e., there is some  $t_2 \in H_0 \langle t \rangle$  such that  $D_2 = \langle t, t_2 \rangle \cong S_3$ . By the rank 3 action of  $G$  on its 3-transpositions,  $D_1$  and  $D_2$  are conjugate in  $G$ . Thus  $D'_1$  is conjugate to the subgroup  $D'_2$  of  $H_0$ , contrary to  $A$  being strongly closed. This proves  $G \not\cong Fi_{23}$ .

Finally, assume  $p = 3$  and  $G \cong Th$ . Following the Atlas notation and the computations in [27], the centralizer of an element of type  $3A$  in  $S$  has isomorphism type

$$N = N_G(\langle 3A \rangle) \cong (Z_3 \times H).2 \quad \text{where} \quad H \cong G_2(3).$$

Since an element of type  $3B$  in  $Z(S) \cap A$  commutes with  $3A$  and therefore acts nontrivially on  $H$ , by induction  $A$  contains a Sylow 3-subgroup of  $H$ . In the

Atlas notation for characters of  $G_2(3)$ , the character  $\chi$  of degree 248 of  $Th$  restricts to  $Z_3 \times H$  as

$$\chi|_{Z_3 \times H} = 1 \otimes (\chi_1 + \chi_6) + (\omega + \bar{\omega}) \otimes \chi_5$$

where the characters of the  $Z_3$  factor are denoted by their values on a generator. By comparison of the values of these on the  $G_2(3)$ -classes it follows that  $H$  contains a representative of every class of elements of order 3 in  $Th$ . The calculations in [27] show that  $S = \Omega_1(S)$ , which leads to  $A = S$ , a contradiction.

This eliminates all sporadic simple groups as potential counterexamples, and so completes the proof of Theorem 1.3.

### 3.2. The Proof of Theorem 1.2

This subsection derives Theorem 1.2 as a consequence of Theorem 1.3. Throughout this subsection  $G$  is a minimal counterexample to Theorem 1.2.

Since strong closure inherits to quotient groups, if  $\mathcal{O}_A(G) \neq 1$  we may apply induction to  $G/\mathcal{O}_A(G)$  and see that the asserted conclusion holds. Thus we may assume  $\mathcal{O}_A(G) = 1$ , and consequently

$$\begin{aligned} A \cap N \text{ is not a Sylow } p\text{-subgroup of } N \text{ for any nontrivial } N \trianglelefteq G \\ \text{and } O_{p'}(G) = 1. \end{aligned} \quad (12)$$

Likewise if  $G_0 = \langle A^G \rangle$  then by Frattini's Argument,  $G = G_0 N_G(A)$ , whence  $\langle A^G \rangle = \langle A^{G_0} \rangle$ . Thus we may replace  $G$  by  $G_0$  to obtain

$$G \text{ is generated by the conjugates of } A. \quad (13)$$

By strong closure  $A \cap O_p(G) \trianglelefteq G$ , whence by (12),  $A \cap O_p(G) = 1$ . Since  $[A, O_p(G)] \leq A \cap O_p(G) = 1$ , by (13) we have

$$O_p(G) \leq Z(G). \quad (14)$$

Consequently  $F^*(G) = Z(G)E(G)$  and  $E(G)$  is a product of subnormal quasisimple components  $L_1, \dots, L_r$  with  $O_{p'}(L_i) = 1$  for all  $i$ . Moreover  $S_i = S \cap L_i$  is a Sylow  $p$ -subgroup of  $L_i$  and  $S_i \not\leq Z(L_i)$  by (12). We shall deduce that  $Z(G) = 1$  later in the proof.

We argue that each component of  $G$  is normal in  $G$ . By way of contradiction assume  $\{L_1, \dots, L_s\}$  is an orbit of size  $\geq 2$  for the action of  $G$  on its components. Let  $Z = A \cap Z(S)$ , so that  $Z$  normalizes each  $L_i$ . Thus  $N = \cap_{i=1}^s N_G(L_i)$  is a proper normal subgroup of  $G$  possessing a nontrivial strongly closed  $p$ -subgroup,  $B = A \cap N$  that is not a Sylow subgroup of  $N$ . By induction — keeping in mind that components of  $N$  are necessarily components of  $G$  and  $\mathcal{O}_B(N) = 1$  — and after possible renumbering, there are simple components  $L_1, \dots, L_t$  of  $N$  that satisfy the conclusion of Theorem 1.2 with  $B \cap L_i \neq 1$ , these are all the components of  $N$  satisfying the latter condition, and  $t \geq 1$ . By Frattini's Argument  $G = N_G(B)N$  from which it follows that  $L_1 \cdots L_t \trianglelefteq G$ .

The transitive action of  $G$  in turn forces  $t = s$ . Thus  $A$  permutes  $\{L_1, \dots, L_s\}$  and  $1 \neq A \cap L_i < S_i$ . If  $A$  does not normalize one of these components, say  $L_i^a = L_j$  for some  $i \neq j$  and  $a \in A$ , then  $S_i S_i^a = S_i \times S_j$ . But then  $[S_i, a] \not\leq (A \cap L_i) \times (A \cap L_j)$ , contrary to  $A \trianglelefteq S$ . Thus  $A$  must normalize  $L_i$  for  $1 \leq i \leq s$ . Since  $A \leq N \trianglelefteq G$ , (13) gives  $N = G$ , a contradiction. This proves

$$\text{every component of } G \text{ is normal in } G. \quad (15)$$

The preceding results also show that  $A$  acts nontrivially on each  $L_i$ . Lemma 2.3 gives  $A_i = A \cap L_i \neq 1$  and  $A_i$  is not Sylow in  $L_i$  for every  $i$ . By Theorem 1.3 applied to each  $L_i$  using a minimal strongly closed subgroup of  $A_i$  we obtain

$$E(G) = L_1 \times L_2 \times \cdots \times L_r \quad (16)$$

and each  $L_i$  is one of the simple groups described in the conclusion of Theorem 1.2. Moreover, in each of conclusions (i) to (v), by Propositions 2.5 and 2.7,  $A_i$  is a subgroup of  $L_i$  described in the respective conclusion. Thus by (13) the theorem is proven if  $A \leq E(G)$ .

We next verify that the action of  $A$  is as claimed when  $A \not\leq E(G)$ . The automorphism group of each  $L_i$  is described in detail in [20, Theorem 2.5.12 and Section 5.3] — these results are used without further citation.

Let  $S^* = S \cap E(G) = S_1 \times \cdots \times S_r$ , let  $H^* = H_1 \times \cdots \times H_r$ , where  $H_i$  is a  $p'$ -Hall complement to  $S_i$  in  $N_{L_i}(S_i)$ , and let  $N^* = AS^*H^*$ . Note that  $O_{p'}(N^*) = C_{H^*}(S^*)$  is  $A$ -invariant. Now in all cases  $[A, S_i] \leq A \cap S_i \leq \Phi(S_i)$ , that is,  $A$  commutes with the action of  $H^*$  on  $S^*/\Phi(S^*)$ . This forces  $A \leq O_{p',p}(N^*)$ . By strong closure of  $A$  we get that  $AO_{p'}(N^*) \trianglelefteq N^*$ . Thus  $N_{N^*}(A)$  covers  $H^*/C_{H^*}(S^*)$ . Let  $H$  be a  $p'$ -Hall complement to  $AS^*$  in  $N_{N^*}(A)$ ; we may assume  $H^* = HC_{H^*}(S^*)$ . We have a Fitting decomposition

$$A = [A, H]A_F \quad \text{where} \quad A_F = C_A(H). \quad (17)$$

By Propositions 2.5 and 2.7 each  $A_i$  is abelian and  $H_i$ , hence also  $H$ , acts without fixed points on each  $A_i$ . Since  $[A, H] \leq A \cap E(G)$  we therefore obtain

$$[A, H] = A_1 \times \cdots \times A_r \quad \text{and} \quad A_F \cap [A, H] = 1. \quad (18)$$

We now determine the action of  $A_F$  on  $L_i$  for each isomorphism type in conclusions (i) to (v).

First suppose  $A_F$  acts trivially on some  $L_i$ , say for  $i = 1$ . In this situation  $A = A_1 \times B$  where  $B = (A_2 \times \cdots \times A_r)A_F = A \cap C_G(L_1)$ . Then  $\langle A^G \rangle = L_1 \times \langle B^G \rangle$ , and so we may proceed inductively to identify  $\langle B^G \rangle$  and conclude that Theorem 1.2 is valid. We now observe that  $A_F$  acts trivially on all components listed in conclusions (ii) to (v) as follows: If say  $L_1$  is one of these cases, it follows from Proposition 2.7 that  $C_{H_1}(S_1) = 1$  and so  $A_F$  centralizes a  $p'$ -Hall subgroup of  $N_{L_1}(S_1)$ . In case (ii) of the conclusions, if  $L_1$  is a Lie-type simple group in characteristic  $p$  and  $BN$ -rank 1, by [18, 9-1] no automorphism of order  $p$  centralizes a Cartan subgroup of  $L_1$ , so  $A_F$  acts trivially on  $L_1$ . If  $L_1 \cong G_2(q)$  is described by case (iii) of the conclusion, then since  $[S_1, A_F] \leq A_1$ , the last

assertion of Proposition 2.7(3) shows that  $A_F$  acts trivially on  $L_1$ . And in cases (iv) and (v) of the conclusions, when  $L_1$  is a sporadic group, none of the target groups admits an outer automorphism of order  $p$ , and no inner automorphism that normalizes a Sylow  $p$ -subgroup also commutes with a  $p'$ -Hall subgroup of its normalizer. Thus  $A_F$  acts trivially in these instances too. In all these cases since  $G$  is generated by conjugates of  $A$  we have  $G = L_1 C_G(L_1)$ .

It remains to consider when  $L_i$  is described by conclusion (i), say  $L = L_i$  is a group of Lie type over the field  $\mathbb{F}_{q_i}$  where  $p \nmid q_i$  and the Sylow  $p$ -subgroups are abelian but not elementary abelian. Since  $A_F$  commutes with the action of a  $p'$ -Hall subgroup of  $N_L(S_i)$ , it follows from Proposition 2.5 that  $A_F$  induces outer automorphisms on  $L$ . The outer diagonal automorphism group of  $L$  has order dividing the order of the Schur multiplier of  $L$ , so by Proposition 2.4(7) no element of  $G$  induces a nontrivial outer diagonal automorphism of  $p$ -power order on  $L$ . Since Sylow 3-subgroups of  $D_4(q)$  and  ${}^3D_4(q)$  are non-abelian,  $L$  does not admit a nontrivial graph or graph-field automorphism when  $p = 3$ . This shows  $A_F$  must act as field automorphisms on  $L$ , and hence  $A_F/C_{A_F}(L)$  is cyclic. Now  $G$  is generated by the conjugates of  $A$ , hence the group  $\tilde{G} = G/LC_G(L)$  of outer automorphisms on  $L$  is generated by conjugates of  $\tilde{A}_F$ . This implies via [20, Theorem 2.5.12] that

$$\tilde{G} = \tilde{D}\tilde{A}_F \quad \text{and} \quad \tilde{D} = [\tilde{D}, \tilde{A}_F] \quad (19)$$

where  $\tilde{D}$  is a cyclic  $p'$ -subgroup of the outer-diagonal automorphism group of  $L$  normalized by the cyclic  $p$ -group  $\tilde{A}_F$  of field automorphisms. Moreover, since  $p > 3$  when  $L$  is of type  $E_6(q)$ ,  ${}^2E_6(q)$  or  $D_{2m}(q)$ , the action of  $\tilde{A}_F$  on  $\tilde{D}$  in (19) implies that  $\tilde{D}$  is trivial except in the cases where  $L$  is a linear or unitary group.

At this point we interject a proof that  $Z(G) = 1$ : In all cases each element of  $A_F$  induces outer (or trivial) automorphisms on each  $L_i$ . As  $A \cap Z(G) = 1$  we see that  $A_F$  acts faithfully as outer automorphisms on  $E(G)$ . Since the intersection of all  $C_G(L_i)$  is  $Z(G)$ , the preceding considerations show that the group  $G/Z(G)E(G)$  of outer automorphisms of  $E(G)$  contains a normal  $p'$ -subgroup whose quotient group is covered by  $A_F$ . It follows that  $S$  is the split extension  $(Z(G) \times S^*)A_F$ . Thus if  $\hat{G}$  denotes passage to  $G/E(G)$  we have

$$\hat{G} = (O_{p'}(\hat{G})\hat{A}_F) \times \widehat{Z(G)}$$

where  $\widehat{Z(G)} \cong Z(G)$ . Since  $\hat{G}$  is generated by conjugates of  $\hat{A} = \hat{A}_F$  we have  $\widehat{Z(G)}$  is trivial, as claimed.

To finish the proof observe that in the notation of (19), a  $p'$ -order subgroup  $D$  that covers the section  $\tilde{D}$  for every  $L_i$  may be defined as follows (even in the presence of  $L_i$  that are not of type (i)): We have now established that  $S = S^*A_F$ , and that  $S^*$  is a Sylow  $p$ -subgroup of the (normal) subgroup  $G_D$  of  $G$  inducing only inner and diagonal automorphisms on  $F^*(G)$ . Thus  $N_{G_D}(S^*)$  has a  $p'$ -Hall complement, which is then a complement to  $S = S^*A_F$  in  $N_G(S^*)$ .

Since  $[S^*, A_F] \leq \Phi(S^*)$ ,  $A_F$  commutes with the action on  $S^*$  of this  $p'$ -Hall subgroup. As  $\tilde{D} = [\tilde{D}, A_F]$ , any choice for  $D$  must lie in  $C_G(S^*)$ . However,  $C_G(S^*)$  has a normal  $p$ -complement, so any  $D$  must lie in  $O_{p'}(C_G(S^*))$ . Thus  $[O_{p'}(C_G(S^*)), A_F] = [O_{p'}(C_G(S^*)), S]$  covers  $\tilde{D}$  for every component  $L_i$  (and centralizes all components that are not of type  $PSL$  or  $PSU$ ).

Finally note that in every case  $A'_F$  centralizes  $L_i$  for every  $i$ . Since then  $A'_F$  centralizes  $F^*(G)$ , it must be trivial, that is,  $A_F$  is abelian. Since  $A_F/C_{A_F}(L_i)$  is cyclic for all  $i$ , it follows that  $A_F = A_F / \cap_{i=1}^r C_{A_F}(L_i)$  has rank at most  $r$ , as asserted. This completes the proof of Theorem 1.2.

### 3.3. The Proofs of Corollaries 1.4 and 1.5

Considering both corollaries at once, assume the hypotheses of Corollary 1.4 hold. The result is trivial if either  $A = S$  (in which case  $\mathcal{O}_A(G) = G$ ) or  $A = 1$  (in which case  $G = 1$ ). By passing to  $G/\mathcal{O}_A(G)$  we may assume  $\mathcal{O}_A(G) = 1$ . Since  $G$  is generated by conjugates of  $A$ , Theorems 1.1 and 1.2 imply that

$$G = (L_1 \times \cdots \times L_r)(D \cdot A_F), \quad (20)$$

where the  $L_i$ ,  $D$  and  $A_F$  are described in their conclusions (with both  $D$  and  $A_F$  trivial when  $p = 2$ ). Let  $S_i = S \cap L_i$  and  $A_i = A \cap L_i$ .

For each  $i$  let  $Z_i$  be a minimal nontrivial strongly closed subgroup of  $A \cap L_i$ , and let  $Z = Z_1 \times \cdots \times Z_r$ . Then  $Z$  is strongly closed in  $G$ , and by Propositions 2.5 and 2.7,  $Z$  is contained in the center of  $S$ . It is immediate from Sylow's Theorem and the weak closure of  $Z$  that  $N_G(Z)$  controls strong  $G$ -fusion in  $S$ . Now

$$N_G(Z) = (N_{L_1}(Z_1) \times \cdots \times N_{L_r}(Z_r))(D \cdot A_F)$$

where by the proof of Theorem 1.2,  $D = [D, A_F]$  may be chosen to be an  $S$ -invariant  $p'$ -subgroup centralizing each  $S_i$ . This implies

$$M = (N_{L_1}(Z_1) \times \cdots \times N_{L_r}(Z_r))A_F \text{ controls strong } G\text{-fusion in } S. \quad (21)$$

It suffices therefore to show that  $N_M(A)$  controls strong  $M$ -fusion in  $S$ . Furthermore,  $N_M(A)$  controls strong  $M$ -fusion in  $S$  if and only if the corresponding fact holds in  $M/O_{p'}(M)$ ; so we may pass to this quotient and therefore assume  $O_{p'}(M) = 1$  (without encumbering the proof with overbar notation, since all normalizers considered are for  $p$ -groups).

If  $L_i$  is a Lie-type component with  $S_i$  abelian then, as noted in the proof of Theorem 1.2,  $N_{L_i}(Z_i) = N_{L_i}(S_i)$  and  $A_F$  commutes with the action on  $S_i$  of an  $A_F$ -stable  $p'$ -Hall subgroup  $H_i$  of this normalizer. Since  $O_{p'}(M) = 1$  it follows that  $H_i$  acts faithfully on  $S_i$ , and so  $[A_F, H_i] = 1$ . On the other hand, if  $L_i$  is not of this type,  $[A_F, L_i] = 1$ . Thus (reading modulo  $O_{p'}(M)$ ) we have

$$M = SC_M(A_F) \quad (22)$$

and so  $N_M(A) = N_M(A^*)$ , where  $A^* = A_1 \cdots A_r$ .

For every component  $L_i$  that is not of type  $G_2(q)$  or  $J_2$ , by Corollary 2.8,  $N_{L_i}(Z_i) = N_{L_i}(S_i)$ ; and therefore in these components  $N_{L_i}(Z_i) = N_{L_i}(A_i)$  too. However, for a component  $L_i$  of type  $G_2(q)$  or  $J_2$  (with  $p = 3$ ), by Proposition 2.7 we must have  $Z_i = A_i$ . In all cases we have  $N_{L_i}(Z_i) = N_{L_i}(A_i)$ . Hence  $N_M(A^*) = N_M(Z) = M$  and the first assertion of Corollary 1.4 holds by (21). This also establishes the second assertion unless  $p = 3$  and some components  $L_i$  are of type  $G_2(q)$  or  $J_2$ , where the possibility that  $|S_i| > 3^3$  in these exceptions is excluded by the hypotheses of Corollary 1.4.

In the remaining case let  $S^* = S_1 \times \cdots \times S_r$ , where  $S_1, \dots, S_k$  are the Sylow 3-subgroups of the components of type  $G_2(q)$  or  $J_2$ , and  $S_{k+1}, \dots, S_r$  are the remaining ones. Again by (22),  $N_M(S) = N_M(S^*)$  so we must prove the latter normalizer controls strong  $M$ -fusion in  $S$ ; indeed, it suffices to prove control of fusion in  $S^*$ . Now  $N_M(S^*)$  controls strong  $M$ -fusion in  $S^*$  if and only if the corresponding result holds in each direct factor. This is trivial for  $i > k$  as  $S_i$  is normal in that factor. For  $1 \leq i \leq k$  the result is true since  $S_i = 3^{1+2}$ , i.e.,  $S_i$  has a central series  $1 < Z_i < S_i$  whose terms are all weakly closed in  $S_i$  with respect to  $N_{L_i}(Z_i)$  (see, for example, [15]). This establishes the final assertion of Corollary 1.4.

In Corollary 1.5 observe that by Theorem 1.2, once  $\mathcal{O}_A(G)$  is factored out we have equation (20) holding, and since  $A_F$  acts without fixed points on the cyclic quotient  $D/(D \cap L_1 \cdots L_r)$ , we must have  $N_G(A) \leq (L_1 \cdots L_r)A_F$ . Thus by (22) we have

$$N_G(A) = N_M(A) \leq SC_M(A_F)O_{p'}(M).$$

Since  $N_M(A) \cap O_{p'}(M)$  centralizes  $A$  we have  $N_G(A) \leq SC_M(A_F)$ , and hence  $N_G(A) = SC_M(A_F)$ . All parts of Corollary 1.5 now follow.

#### 4. Examples

Throughout this section  $p$  is any prime,  $G$  is a finite group possessing a non-trivial Sylow  $p$ -subgroup  $S$ . In this section we describe some families of groups possessing strongly closed subgroups  $A$  contained in  $S$ . Let  $\mathfrak{A}_1(S)$  denote the *unique smallest strongly closed (with respect to  $G$ ) subgroup of  $S$  that contains  $\Omega_1(S)$* . We focus primarily on groups where  $A = \mathfrak{A}_1(S) \neq S$ , as these groups provide illuminating examples of fusion, and control (or failure of control) of fusion in  $S$  by  $N_G(S)$ ; and therefore we describe  $N_G(A)$  and  $N_G(S)$  in our examples. In particular, in Section 4.3 we show that the extra hypotheses in the last sentence of Corollary 1.4 are necessary. Our constructions are also significant to homotopy theory, as they provide interesting examples of cellularizations of classifying spaces, as detailed in [11].

First of all, an example where both  $D$  and  $A_F$  are nontrivial is when  $G = P\Gamma L_{11}(q)$  with  $p = 5$  and  $q = 3^5$ . Here the simple group  $L = PSL_{11}(q)$  has an abelian Sylow 5-subgroup of type (25,25),  $PGL_{11}(q)/L$  is the cyclic outer diagonal automorphism group of  $L$  of order 11 (this is  $DL/L$ ), and  $\langle f \rangle = A_F$  induces a group of order 5 of field automorphisms on  $PGL_{11}(q)$ ; in particular,

$G/L$  is the non-abelian group of order 55. If  $f \in S \in \text{Syl}_5(G)$ , then  $A = \Omega_1(S) = \langle f, \Omega_1(S \cap L) \rangle$  is elementary abelian of order  $5^3$  and strongly closed in  $S$  with respect to  $G$ , and  $A^* = \Omega_1(S \cap L)$  is a minimal strongly closed subgroup of  $G$ .

In this example, to compute the normalizers of  $A$  and  $A^*$  it is easier to work in the universal group  $GL_{11}(q)\langle f \rangle$  — also denoted by  $G$  — via its action on an 11-dimensional  $\mathbb{F}_q$ -vector space  $V$  (since the center of  $GL_{11}(q)$  has order prime to 5) — see the proof of Lemma 3.4 for some general methodology. Let  $G^* = GL_{11}(q)$  and  $S^* = S \cap G^*$ . Then one sees that  $N_G(A^*) = N_G(S^*)$  is contained in a subgroup

$$H = ((G_1 \times G_2)\langle t \rangle \times C)\langle f \rangle$$

where  $G_i \cong GL_4(q)$ ,  $C \cong GL_3(q)$ ,  $t$  interchanges the two factors and  $f$  induces field automorphisms on all three factors and commutes with  $t$  (here  $G_1 \times G_2 \times C$  acts naturally on a direct sum decomposition of  $V$ ). Let  $S_i = S \cap G_i$ , so  $S_i$  is cyclic of order 25 and acts  $\mathbb{F}_q$ -irreducibly on the 4-dimensional submodule for  $G_i$ . By basic representation theory,  $C_{G_i}(S_i)$  is cyclic of order  $q^4 - 1$ , and  $N_{G_i}(S_i)/C_{G_i}(S_i)$  is cyclic of order 4. Thus

$$N_G(A^*) = N_G(S^*) \cong ((q^4 - 1) \cdot 4 \times (q^4 - 1) \cdot 4)\langle t, f \rangle \times GL_3(3^5).$$

Since  $A_F = \langle f \rangle$  acts as a field automorphisms, similar considerations show that

$$N_G(A) = S(N_G(S^*) \cap C_{G^*}(f)) \cong (400 \cdot 4 \times 400 \cdot 4)\langle t, f \rangle \times GL_3(3).$$

The  $G$ -fusion in  $S$  is effected by the group  $S(4 \times 4)\langle t \rangle$ , which is the same for both normalizers. In this example we may choose  $D = [C_G(S^*), f]$ , which is of type  $B \times B \times (SL_3(q) \cdot 121)$  where  $B$  is cyclic of order  $(q^4 - 1)/5(3^4 - 1)$ ; a (smaller) group of diagonal automorphisms for  $D$  could be chosen inside the abelian factor  $B \times B$ .

#### 4.1. Simple groups

The following is an immediate consequence of Theorems 1.1 and 1.2 (here  $\mathcal{O}_A(G) = 1$  by the simplicity of  $G$ ):

**Corollary 4.1.** *Let  $G$  be a simple group in which  $\mathfrak{A}_1(S) \neq S$ . Then  $G$  is isomorphic to one of the groups  $L_i$  that appear in the conclusions of Theorems 1.1 and 1.2. In all cases the normalizer of  $S$  controls strong fusion in  $S$ .*

PROOF. The first assertion is immediate. Recall that if  $G$  is the simple group  $G_2(q)$  for some  $q$  with  $(q, 3) = 1$ , then we showed in the proof of Proposition 2.7 (and at the end of the proof of Lemma 3.4) that  $S = \Omega_1(S)$ . Thus by Corollary 1.4, in all cases in where  $\mathfrak{A}_1(S) \neq S$  the normalizer of  $S$  controls strong fusion in  $S$ .

With the exception of the groups of Lie type in characteristic  $\neq p$ , the Sylow- $p$  normalizers of the simple groups appearing in the conclusions to Theorems 1.1 and 1.2 are described explicitly in Proposition 2.7. We therefore add here only some observations on the structure of the normalizers in the remaining case.

Let  $G$  be a group of Lie type over a field of characteristic  $r \neq p$  and suppose the Sylow  $p$ -subgroup  $S$  of  $G$  is abelian but not elementary abelian (here  $p$  is odd). The overall structure of  $N_G(S)$  is governed by the theory of algebraic groups, as invoked in the proof of Proposition 2.6. Recapping from that argument: since the Schur multiplier of  $G$  is prime to  $p$  we may work in the universal version of  $G$  to describe  $N_G(S)$ . Let  $\overline{G}$  be the simply connected universal simple algebraic group over the algebraic closure of  $\mathbb{F}_r$ , and let  $\sigma$  be a Steinberg endomorphism whose fixed points equal  $G$ . In the notation of [24],  $p$  is not a torsion prime for  $\overline{G}$ , so by 5.8 therein  $C_{\overline{G}}(S)$  is a connected, reductive group whose semisimple component is simply connected. The general theory of connected, reductive algebraic groups gives that  $C_{\overline{G}}(S) = \overline{Z}\overline{L}$ , where  $\overline{Z}$  is the connected component of the center of  $C_{\overline{G}}(S)$ ,  $\overline{L}$  is the semisimple component (possibly trivial), and  $\overline{Z} \cap \overline{L}$  is a finite group. Furthermore,  $\overline{L}$  is a product of groups of Lie type over the algebraic closure of  $\mathbb{F}_r$  of smaller rank than  $\overline{G}$ . It follows that  $C_{\overline{G}}(S)$  is a commuting product of the fixed points of  $\sigma$  on  $\overline{Z}$  and  $\overline{L}$ , i.e.,

$$C_{\overline{G}}(S) = C_{\overline{Z}}(\sigma)C_{\overline{L}}(\sigma)$$

where  $S \leq C_{\overline{Z}}(\sigma)$  is an abelian group (a finite torus) and  $C_{\overline{L}}(\sigma)$  is either solvable or a product of finite Lie type groups in characteristic  $r$ .

To complete the generic description of  $N_G(S)$  we invoke additional facts from [24] and [20, Section 4.10]. As above,  $S$  is contained in a  $\sigma$ -stable maximal torus  $\overline{T}_1$ , where  $\overline{T}_1$  is obtained from a  $\sigma$ -stable split maximal torus  $\overline{T}$  by twisting by some element  $w$  of the Weyl group  $W = N_{\overline{G}}(\overline{T})/\overline{T}$  of  $\overline{G}$ . Since  $S$  is characteristic in the finite torus  $T_1 = (\overline{T}_1)_\sigma$  it follows that  $N_G(S)/C_G(S) \cong N_G(T_1)/T_1$ . In most cases, by 1.8 of [24] or Proposition 3.36 of [7] we have  $N_G(T_1)/T_1 \cong W_\sigma \cong C_W(w)$  (see also [20, Theorem 2.1.2(d)] and the techniques in the proof of Theorem 4.10.2 in that volume).

In the special case where  $G$  is a classical group (linear, unitary, symplectic, orthogonal) the normalizer of  $S$  can be computed explicitly by its action on the underlying natural module,  $V$ , as described in the proof of Lemma 3.4. In the notation of this lemma, the semisimple component of order prime to  $p$  comes from the normal subgroup  $\text{Isom}(V_0)$  in  $\text{Isom}(V)$ , where  $V_0 = C_V(S)$ , and  $S$  is the direct product of the cyclic groups  $S \cap \text{Isom}(V_i)$  for  $i = 1, 2, \dots, s$ . The Weyl group normalizing  $S$  acts as the symmetric group  $S_s$  permuting the subgroups  $\text{Isom}(V_i)$ . The orders of the centralizer and normalizer of a (cyclic) Sylow  $p$ -subgroup in each subgroup  $\text{Isom}(V_i)$  depend on  $p$  and the nature of  $G$  — Chapter 3 of [7] gives techniques for computing these.

For an easy explicit example of this let  $G = SL_{n+1}(q)$  where  $q = r^m$  and  $p > n + 1$ , and assume  $p \mid q - 1$ . In this case we may choose  $S$  contained in the group of diagonal matrices  $T$  of determinant 1, which is an abelian group of type  $(q - 1, \dots, q - 1)$  of rank  $n$  (here  $T$  is the split torus). In this case  $T = C_G(S)$

and  $N_G(S) = N_G(T) = TW$ , where  $W \cong S_{n+1}$  is the group of permutation matrices permuting the entries of matrices in  $T$  in the natural fashion (as the “trace zero” submodule of the natural action on the direct product of  $n + 1$  copies of the cyclic group of order  $q - 1$ ). To obtain the Sylow  $p$ -normalizer in the simple group  $PSL_{n+1}(q)$  factor out the subgroup of scalar matrices of order  $(n + 1, q - 1)$ .

#### 4.2. Split extensions

In this subsection we consider some non-simple groups possessing strongly closed  $p$ -subgroups in which  $S \neq \mathfrak{A}_1(S)$ . We show that many split extensions for which these conditions hold can be constructed. This construction demonstrates that even when  $N_{\overline{G}}(\overline{S})$  (or  $N_{\overline{G}}(\overline{A})$ ) controls  $\overline{G}$ -fusion in  $\overline{S}$ , where overbars denote passage to  $G/\mathcal{O}_A(G)$ , it need *not* be the case that  $N_G(A)$  controls fusion in  $S$  (or in  $A$ ), even when  $N_{\overline{G}}(\overline{A}) = \overline{N_G(A)}$ . This highlights the importance of “recognizing” the subgroup  $\mathcal{O}_A(G)$  as well as the isomorphism types of the components of  $G/\mathcal{O}_A(G)$  in our classifications.

**Proposition 4.2.** *Let  $R$  be any group that is not a  $p$ -group but is generated by elements of order  $p$ . Assume also that  $\mathfrak{A}_1(T) \neq T$  for some Sylow  $p$ -subgroup  $T$  of  $R$ . Let  $E$  be any elementary abelian  $p$ -group on which  $R$  acts in such a way that  $R/C_R(E)$  is not a  $p$ -group. Let  $G$  be the semidirect product  $E \rtimes R$ , and let  $S = ET$  be a Sylow  $p$ -subgroup of  $G$ . Then  $G$  is generated by elements of order  $p$ ,  $\mathfrak{A}_1(S) \neq S$ , and  $N_G(S)$  does not control fusion in  $S$ .*

PROOF. Note that the split extension  $G = ER$  is clearly generated by elements of order  $p$  since both  $E$  and  $R$  are. Also,  $\mathfrak{A}_1(S)$  contains  $E$ , and by Lemma 2.3, since the extension is split we obtain  $\mathfrak{A}_1(S)/E \cong \mathfrak{A}_1(T) < T$ , so  $\mathfrak{A}_1(S) \neq S$ . It remains to show that  $N_G(S)$  does not control fusion in  $S$ .

Let  $0 = E_0 < E_1 < \cdots < E_{n-1} < E_n = E$  be a chief series through  $E$ , so that each factor  $E_i/E_{i-1}$  is an irreducible  $\mathbb{F}_p R$ -module. If each such factor is one-dimensional, then  $R$  is represented by upper triangular matrices in its action on  $E$ . Since  $R$  is generated by elements of order  $p$ , it must be represented by unipotent matrices, hence  $R/C_R(E)$  is a  $p$ -group, a contradiction.

Thus there is some chief factor  $E_i/E_{i-1}$  that is not one-dimensional. If a Sylow normalizer controlled fusion in  $S$ , then by Lemma 2.3 the same would be true in the quotient group  $G/E_{i-1}$ ; we show this is not the case. To do so, we may pass to the quotient and therefore assume  $E_1$  is a minimal normal, noncentral subgroup of  $G$ . Now  $Z_1 = Z(S) \cap E_1 \neq 1$  and  $Z_1$  is invariant under  $N_G(S)$ . However,  $R$  acts irreducibly and nontrivially on  $E_1$  and  $R$  is generated by conjugates of  $S$ , so  $Z_1 \neq E_1$  and hence  $Z_1$  is not  $R$ -invariant. Thus for some  $z \in Z_1$  and  $g \in G$  we must have  $z^g \in E_1 - Z_1$ , which shows  $N_G(S)$  does not control fusion in  $S$ .

This proposition can be invoked to create a host of examples: Let  $R$  be any of the simple groups  $L_i$  (or their quasisimple universal covers) in the conclusion

to Theorem 1.2 and let  $E$  be an  $\mathbb{F}_p R$ -module on which  $R$  acts nontrivially (for example, any nontrivial permutation module). More specifically, for  $p$  odd let  $q$  be any prime power such that  $p^2 \mid q - 1$ , so that Sylow  $p$ -subgroups of  $R = SL_2(q)$  are cyclic of order  $\geq p^2$  (for example,  $p = 3$  and  $q = 19$ ). Then  $R$  permutes the  $q + 1$  lines in a 2-dimensional space over  $\mathbb{F}_q$ , and so permutes  $q + 1$  basis vectors in a  $q + 1$ -dimensional vector space  $E$  over  $\mathbb{F}_p$ . Then  $G = E \rtimes R$  gives a specific realization for Proposition 4.2.

Building on the preceding example where  $R = SL(2, q)$  for any prime power  $q$  such that  $p^2 \mid q - 1$ : then  $T$  may be represented by diagonal matrices over  $\mathbb{F}_q$ , so is cyclic of order  $p^n = |q - 1|_p$ ; moreover,  $C_R(T)$  is the group of all diagonal matrices of determinant 1, hence is cyclic of order  $q - 1$ . In particular,  $\mathfrak{A}_1(T) = \Omega_1(T) \cong \mathbb{Z}/p$ . Furthermore,  $N_R(T) = N_R(\mathfrak{A}_1(T))$  is of index 2 in  $C_R(T)$  and an involution in  $N_R(T)$  inverts  $C_R(T)$ . Thus  $N_R(\mathfrak{A}_1(T))/\mathfrak{A}_1(T)$  is isomorphic to the dihedral group of order  $2(q - 1)/p$ .

#### 4.3. Exotic extensions of $G_2(q)$

When  $G$  is the simple group  $G_2(q)$  for some  $q$  with  $(q, 3) = 1$ , although a Sylow 3-subgroup  $S$  contains a strongly closed subgroup  $A = Z(S)$  of order  $p = 3$ , when we impose the additional hypothesis that our strongly closed subgroup must contain all elements of order 3 the strongly closed subgroup  $A$  does not arise in our considerations because  $S = \Omega_1(S)$ . For the same reason, if  $G = ER$  is any split extension of  $R = G_2(q)$  by an elementary abelian 3-group and  $S = ET$  for  $T \in Syl_3(R)$ , then again  $S = \Omega_1(S) = \mathfrak{A}_1(S)$ . In this subsection we describe a family of extensions that we call “half-split” in the sense that they split over a certain conjugacy class of elements of  $R$  but do not split over another. In this way we construct extensions  $G$  of  $R = G_2(q)$  by certain elementary abelian 3-groups  $E$  such that for  $S \in Syl_3(G)$  we have  $\Omega_1(S)/E$  mapping onto the strongly closed subgroup of order 3 in a Sylow 3-subgroup  $S/E$  of  $G_2(q)$ . In particular, these “exotic” extensions show that the exceptional case of Corollary 1.4 cannot be removed: when  $9 \mid q^2 - 1$  these groups  $G$  are generated by elements of order 3, have  $\mathfrak{A}_1(S) \neq S$ , but  $N_{G/E}(S/E)$  does not control fusion in  $S/E$  (here  $E = \mathcal{O}_A(G)$  where  $A = \mathfrak{A}_1(S)$ ).

The following general proposition will construct such extensions.

**Proposition 4.3.** *Let  $p$  be a prime dividing the order of the finite group  $R$  and let  $X$  be a subgroup of order  $p$  in  $R$ . Then there is an  $\mathbb{F}_p R$ -module  $E$  and an extension*

$$1 \longrightarrow E \longrightarrow G \longrightarrow R \longrightarrow 1$$

*of  $R$  by  $E$  such that the extension of  $X$  by  $E$  does not split, but the extension of  $Z$  by  $E$  splits for every subgroup  $Z$  of order  $p$  in  $R$  that is not conjugate to  $X$ . In particular, for nonidentity elements  $x \in X$  and  $z \in Z$  every element in the coset  $xE$  has order  $p^2$  whereas  $zE$  contains elements of order  $p$  in  $G$ .*

PROOF. Let  $E_0$  be the one-dimensional trivial  $\mathbb{F}_p X$ -module. By the familiar cohomology of cyclic groups ([6], Section III.1):

$$H^2(X, E_0) \cong \mathbb{Z}/p\mathbb{Z} \quad (23)$$

and a non-split extension of  $X$  by  $E_0$  is just a cyclic group of order  $p^2$ . Now let

$$E = \text{Coind}_X^R(E_0) = \text{Hom}_{\mathbb{Z}X}(\mathbb{Z}R, E_0)$$

be the coinduced module from  $X$  to  $R$  (which is isomorphic to the induced module  $E_0 \otimes_{\mathbb{F}_p X} \mathbb{F}_p R$  in the case of finite groups), so that  $E$  has  $\mathbb{F}_p$ -dimension  $\frac{1}{p}|R|$ . By Shapiro's Lemma ([6], Proposition III.6.2)

$$H^2(R, E) \cong H^2(X, E_0). \quad (24)$$

Thus by (23) there is a non-split extension of  $R$  by  $E$  — call this extension group  $G$  and identify  $E$  as a normal subgroup of  $G$  with quotient group  $G/E = R$ .

The isomorphism in Shapiro's Lemma, (24), is given by the compatible homomorphisms  $\iota : X \hookrightarrow R$  and  $\pi : \text{Coind}_X^R E_0 \rightarrow E_0$ , where  $\pi$  is the natural map  $\pi(f) = f(1)$ . In particular, this isomorphism is a composition

$$H^2(R, E) \xrightarrow{\text{res}} H^2(X, E) \xrightarrow{\pi^*} H^2(X, E_0).$$

Thus the 2-cocycle defining the non-split extension group  $G$ , which maps to a nontrivial element in  $H^2(X, E_0)$ , by restriction gives a non-split extension of  $X$  by  $E$  as well.

For any subgroup  $Z$  of  $R$  of order  $p$  with  $Z$  not conjugate to  $X$ , by the Mackey decomposition for induced representations

$$\text{Res}_Z^R \text{Ind}_X^R E_0 = \bigoplus_{g \in \mathcal{R}} \text{Ind}_{Z \cap gXg^{-1}}^Z \text{Res}_{Z \cap gXg^{-1}}^{gXg^{-1}} gE_0 \quad (25)$$

where  $\mathcal{R}$  is a set of representatives for the  $(Z, X)$ -double cosets in  $R$ . By hypothesis,  $Z \cap gXg^{-1} = 1$  for every  $g \in R$ , hence each term in the direct sum on the right hand side is an  $\mathbb{F}_p Z$ -module obtained by inducing a one-dimensional trivial  $\mathbb{F}_p$ -module for the identity subgroup to a  $p$ -dimensional  $\mathbb{F}_p Z$ -module, i.e., is a free  $\mathbb{F}_p Z$ -module of rank 1. (Alternatively,  $E$  is the  $\mathbb{F}_p$ -permutation module for the action of  $R$  by left multiplication on the left cosets of  $X$ ; by the fusion hypothesis,  $Z$  acts on a basis of  $E$  as a product of disjoint  $p$ -cycles with no 1-cycles.) This shows  $E$  is a free  $\mathbb{F}_p Z$ -module, and hence the extension of  $Z$  by  $E$  splits. This completes the proof.

The  $p^{\text{th}}$ -power map on elements in the lift of  $X$  to  $G$  can be described more precisely. By the Mackey decomposition in (25) inducing from  $X$  but rather restricting to  $X$  instead of  $Z$ , or by direct inspection of the action of  $X$  on the  $\mathbb{F}_p$ -permutation module  $E$ , we see that  $E$  decomposes as an  $\mathbb{F}_p X$ -module direct sum as

$$E = E_1 \oplus E_2,$$

where  $E_1$  is a trivial  $\mathbb{F}_p X$ -module and  $E_2$  is a free  $\mathbb{F}_p X$ -module. Since  $X$  splits over the free summand  $E_2$ , we see that  $X$  does not split over  $E_1$ , and hence

$$X E_1 \cong (\mathbb{Z}/p^2) \times \mathbb{Z}/p \times \cdots \times \mathbb{Z}/p \quad \text{with} \quad E_1 = \Omega_1(X E_1).$$

Thus for every element  $x$  in  $G - E$  mapping to an element of  $X$  in  $G/E$ ,  $x^p$  has a nontrivial component in  $E_1$ .

One may also observe that by taking direct sums we can arrange more generally that if  $X_1, X_2, \dots, X_n$  are representatives of the distinct conjugacy classes of subgroups of order  $p$  in  $R$ , then for any  $i \in \{1, 2, \dots, n\}$  there is an  $\mathbb{F}_p R$ -module  $E$  and an extension of  $R$  by  $E$  such that in the extension group each of  $X_1, \dots, X_i$  splits over  $E$  but none of  $X_{i+1}, \dots, X_n$  do.

We are particularly interested in the case  $R = G_2(q)$  with  $p = 3$  and  $(q, 3) = 1$ . The normalizer of a Sylow 3-subgroup of  $R$  is described in Proposition 2.7: Let  $T \in \text{Syl}_3(R)$  and let  $Z = Z(T) = \langle z \rangle$ . In the notation preceding Proposition 2.5,  $N_R(Z) \cong SL_3^\epsilon(q) \cdot 2$  according as  $3 \mid q - \epsilon$ . Moreover, if  $9 \mid q - \epsilon$  then  $N_R(T)$  does not control fusion in  $T$ : all elements of order 3 in  $T - Z$  are conjugate in  $C_R(Z)$  whereas by Proposition 2.7,  $N_R(T)/T$  has order 4 for this congruence of  $q$ .

Now consider the extension group  $G$  constructed in Proposition 4.3 with  $p = 3$ ,  $R = G_2(q)$ ,  $Z = \langle z \rangle$  and  $X = \langle x \rangle$  for any  $x \in T - Z$  of order 3. Let  $S \in \text{Syl}_3(G)$  with  $S$  mapping onto  $T$  in  $G/E \cong R$ . Since Proposition 2.7 shows all elements of order 3 in  $T - Z$  are conjugate to  $x$  but not to  $z$ , the structure of the extension implies that  $A = \Omega_1(S) = \mathfrak{A}_1(S)$  contains  $E$  and maps to  $Z$  in  $S/E$ . Thus  $\mathcal{O}_A(G) = E$  and  $\bar{A} = \bar{Z}$ . By Corollary 1.4, the normalizer of  $Z$  in  $R = G_2(q)$  controls 3-fusion in  $G_2(q)$ , so in particular  $SL_3^*(q)$  has the same mod 3 cohomology as  $G_2(q)$ , where  $SL_3^*(q)$  denotes the group  $SL_3^\epsilon(q)$  together with the outer (graph) automorphism of order 2 inverting its center ( $N_R(Z) \cong SL_3^*(q)$ ). On the other hand,  $Z$  is normal in  $SL_3^*(q)$ , and  $SL_3^*(q)/Z$  is isomorphic to  $PSL_3^*(q)$ .

This example highlights the importance of having a classification of *all* groups possessing a nontrivial strongly closed  $p$ -subgroup that is not Sylow — not just the simple groups having such a subgroup that contains  $\Omega_1(S)$  — since the subgroup  $\mathfrak{A}_1(S)$  does not pass in a transparent fashion to quotients.

The extensions of our techniques and results to more general  $p$ -local spaces with a notion of  $p$ -fusion seem to be the natural next step of our study; in particular, classifying spaces of  $p$ -local finite groups and some families of non-finite groups offer enticing possibilities.

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