

Assigned January 27. Due February 5. The problems are worth 14 points each, with 2 points added to make 100.

1. Let  $(X, d)$  be a metric space. Recall that a sequence  $\{x_n\} \subset X$  is said to converge to  $p \in X$  (written  $x_n \rightarrow p$ ) if  $d(x_n, p) \rightarrow 0$  as  $n \rightarrow \infty$ . (Formally: for every  $\epsilon > 0$  there is an  $N$  such that, if  $n \geq N$ , then  $d(x_n, p) < \epsilon$ .) Show that  $x_n \rightarrow p$  if and only if, for all open sets  $U$  containing  $p$ , there is an  $N$  such that, if  $n \geq N$ , then  $x_n \in U$ .

*Solution.* Suppose  $x_n \rightarrow p$  and  $p \in U$ , where  $U$  is open. Pick  $\epsilon > 0$  such that  $B(p; \epsilon) \subset U$  and  $N$  such that  $n \geq N$  implies  $d(x_n, p) < \epsilon$ . For the other direction, note that  $B(p; \epsilon)$  is an open set containing  $p$ .

2. Let  $X$  be a non-empty set with two metrics,  $d_1$  and  $d_2$ , and suppose that the metric spaces  $(X, d_1)$  and  $(X, d_2)$  have the same open sets:  $U \subset X$  is open in  $(X, d_1)$  if and only if it's open in  $(X, d_2)$ . Show that they also have the same convergent sequences. I.e., show that if  $\{x_n\} \subset X$  is any sequence and  $p \in X$  is any point, then  $d_1(x_n, p) \rightarrow 0$  as  $n \rightarrow \infty$  if and only if  $d_2(x_n, p) \rightarrow 0$  as  $n \rightarrow \infty$ .

*Solution.* Suppose that  $d_1(x_n, p) \rightarrow 0$ . We wish to show that  $d_2(x_n, p) \rightarrow 0$ . Let  $U \subset X$  be open in  $(X, d_2)$ , and suppose that  $p \in U$ . By hypothesis,  $U$  is also open in  $(X, d_1)$ . Therefore (by problem #1),  $x_n \in U$  for sufficiently large  $n$ . But then (again by problem #1),  $d_2(x_n, p) \rightarrow 0$ . The reverse implication comes from symmetry.

3. Prove the converse of #2: Show that if  $(X, d_1)$  and  $(X, d_2)$  have the same convergent sequences (in the sense of problem #2), then they have the same open sets (also in the sense of problem #2).

*Solution.* Let  $U$  be open in  $(X, d_1)$  and suppose, by contradiction, that  $U$  is *not* open in  $(X, d_2)$ . Then there exists  $p \in U$  such that  $B_2(p; r) \not\subset U$  for any  $r > 0$ ; and, for each  $n = 1, 2, 3, \dots$ , we can find a point  $x_n \in B_2(p; 1/n)$  such that  $x_n \notin U$ . Therefore  $d_2(x_n, p) < 1/n \rightarrow 0$ , implying  $d_1(x_n, p) \rightarrow 0$  too. The result of problem #1 now implies  $x_n \in U$  for sufficiently large  $n$ , which contradicts how we chose  $x_n$ . Therefore  $U$  is open in  $(X, d_2)$ . The reverse implication comes from symmetry.

4. Let  $(X, d)$  be a metric space and define

$$\rho(x, y) = \frac{d(x, y)}{1 + d(x, y)}.$$

Show that  $(X, \rho)$  is also a metric space. (The only challenge here will be proving that  $\rho$  satisfies the triangle inequality.)

*Solution.* The symmetry, non-degeneracy, and non-negativity of  $\rho$  are trivial. For the triangle inequality, it's enough to show this lemma: If  $a, b$ , and  $c$  are non-negative numbers such that  $a \leq b + c$ , then

$$\frac{a}{1 + a} \leq \frac{b}{1 + b} + \frac{c}{1 + c}.$$

Since  $x/(1+x) \nearrow$  on  $[0, \infty)$  the lemma is vacuous if  $a \leq b$  or  $a \leq c$ . Therefore we can assume  $a > b$  and  $a > c$ . But then:

$$\begin{aligned} \frac{a}{1+a} &\leq \frac{b+c}{1+a} \\ &= \frac{b}{1+a} + \frac{c}{1+a} \\ &\leq \frac{b}{1+b} + \frac{c}{1+c}, \end{aligned}$$

proving the lemma. The triangle inequality follows by setting  $a = d(x, z)$ ,  $b = d(x, y)$ ,  $c = d(y, z)$ .

5. Let  $(X, d)$  be a metric space, and let  $(X, \rho)$  be as in problem #4. Show that  $\rho(x, y) < 1$  for all  $x$  and  $y$  in  $X$ , but that  $(X, d)$  and  $(X, \rho)$  still have the same open sets in the sense of problem #2.

*Solution.* The inequality  $\rho < 1$  is trivial. We note that, if  $d(x, y) < 1$ , then

$$\rho(x, y) \leq d(x, y) \leq 2\rho(x, y);$$

because, if  $0 \leq x < 1$ , then

$$\frac{x}{1+x} \leq x \leq \frac{2x}{1+x}.$$

Conversely (and for a similar reason), if  $\rho(x, y) < 1/2$ , then

$$d(x, y) \leq 2\rho(x, y) \leq 2d(x, y).$$

Therefore, if  $\{x_n\}$  is any sequence in  $X$  and  $p \in X$ ,  $d(x_n, p) \rightarrow 0$  if and only if  $\rho(x_n, p) \rightarrow 0$ . Now apply the result of problem #3.

6. Let  $(X, d)$  be a metric space. Recall that a sequence  $\{x_n\} \subset X$  is called Cauchy if, for every  $\epsilon > 0$ , there is a number  $N$  such that, if  $m$  and  $n$  are  $\geq N$ , then  $d(x_n, x_m) < \epsilon$ . A *subsequence* of  $\{x_n\}$  is a sequence of the form  $\{x_{n_k}\}$ , where  $n_1 < n_2 < n_3 \cdots$ . Show: If  $\{x_n\} \subset X$  is a Cauchy sequence and some subsequence  $\{x_{n_k}\}$  converges to  $p \in X$ , then  $x_n \rightarrow p$ . (I call this the ‘‘Sheep Going Over the Cliff’’ Lemma.)

*Solution.* Let  $\epsilon > 0$ . Pick  $N$  such that, if  $m$  and  $n$  are  $\geq N$ , then  $d(x_n, x_m) < \epsilon/2$ . Pick  $J$  so large that  $n_J \geq N$  AND  $d(x_{n_J}, p) < \epsilon/2$ . Define  $\tilde{N} = \max(N, n_J)$ . If  $n \geq \tilde{N}$  then, since  $n$  and  $n_J$  are both  $\geq N$ ,

$$d(x_n, p) \leq d(x_n, x_{n_J}) + d(x_{n_J}, p) < \epsilon.$$

7. Let  $(X, d)$  be a metric space and suppose that  $A \subset X$  is connected. Show that if

$$A \subset B \subset \bar{A}$$

then  $B$  is connected.

*Solution.* Suppose, by contradiction, that  $B$  is disconnected. Let  $(U, V)$  be a disconnection for it. Either  $A \cap U$  or  $A \cap V$  is empty (otherwise  $(U, V)$  would disconnect  $A$ ). Suppose  $A \cap U = \emptyset$ . Then  $A \subset X \setminus U$ . But  $X \setminus U$  is a closed set. Therefore  $\overline{A} \subset X \setminus U$ , implying  $\overline{A} \cap U = \emptyset$ . But then  $B \cap U \subset \overline{A} \cap U = \emptyset$ , implying that  $(U, V)$  does not disconnect  $B$ . QED.